

Moduli spaces of high-dimensional discs

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after Watanabe, Weiss, Kupers-Randal-Williams

GEMAT seminar
IMAR
11 June 2021

V. Manifold calculus & exotic Pontryagin classes

- Recap of last talk
 - $\pi_* \text{Diff}_g(D^d)$ vs. $\pi_* \text{BHome}(R^d)$
 - exotic Pontryagin classes
 - strategy of proof of Weiss' theorem
- Pulling back $\kappa(\text{Lunk})$ and $\kappa(\text{decomposable})$
- Manifold calculus
- End of the proof of Weiss' theorem
- The work of Kupers-Randal-Williams

Recap of last talk :

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$$\pi_* \text{Diff}_0(\mathbb{D}^d) \cong \pi_{*+d+1} \left(\frac{\text{Homeo}(\mathbb{R}^d)}{O(d)} \right) \quad [\text{Morlet}]$$

$$\begin{array}{ccccccc} \cdots \rightarrow \pi_{*+1} \text{BO}(d) & \rightarrow & \pi_{*+1} \text{BHomeo}(\mathbb{R}^d) & \rightarrow & \pi_* \left(\frac{\text{Homeo}(\mathbb{R}^d)}{O(d)} \right) & \rightarrow & \pi_* \text{BO}(d) \rightarrow \cdots \\ \underbrace{\hspace{10em}} & & \underbrace{\hspace{10em}} & & \underbrace{\hspace{10em}} & & \underbrace{\hspace{10em}} \\ \textcircled{\text{Q}}: & \text{vanishes for} & & \text{isomorphic in degrees} & & \text{vanishes for} & \\ & * \geq 2d & & * \geq 2d & & * \geq 2d & \end{array}$$

Putting this together:

In degrees $* \geq d$, we have
"above the stable range"

$$\pi_* \text{Diff}_0(\mathbb{D}^d)_{\mathbb{Q}} \cong \pi_{*+d+2} \text{BHomeo}(\mathbb{R}^d)_{\mathbb{Q}}$$

Now set $d = 2n$

$$\begin{array}{ccccc} & & & \begin{array}{c} 0 \\ \# \end{array} & ? \\ & & & \overbrace{\hspace{10em}} & \overbrace{\hspace{10em}} \\ & & & p_1, \dots, p_n, p_{n+1}, p_{n+2}, \dots & \text{"exotic"} \\ \mathbb{Q}[p_1, \dots, p_n] \cong H^*(\text{BO}(2n)) & \longleftarrow & H^*(\text{BHomeo}(\mathbb{R}^{2n})) & \xrightarrow{\quad} & \\ \uparrow & & \uparrow & & \\ \mathbb{Q}[p_1, p_2, p_3, \dots] \cong H^*(\text{BO}) & \longleftarrow & H^*(\text{BTop}) \cong \mathbb{Q}[p_1, p_2, p_3, \dots] & \xrightarrow{\quad} & \\ & \text{Thom / Novikov /} & & & \\ & \text{Kirby - Siebenmann / Sullivan} & & & \end{array}$$

Theorem [Wor's 15] (1) $p_{n+k} \neq 0 \in H^{4n+4k}(\text{BHomeo}(\mathbb{R}^{2n}))$

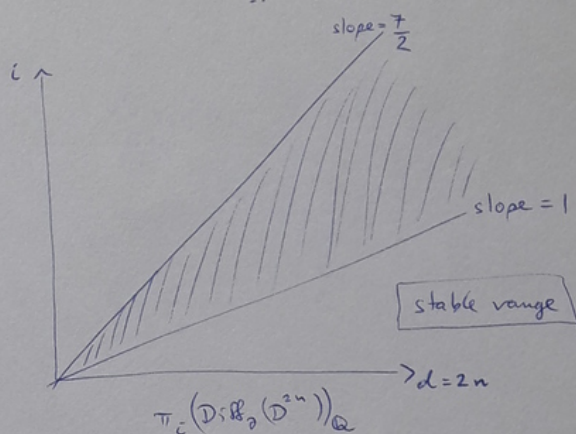
for all $k \leq \frac{5}{4}n$

(2) Moreover, the linear map $\pi_{4n+4k} \text{BHomeo}(\mathbb{R}^{2n})_{\mathbb{Q}} \rightarrow \mathbb{Q}$
 $[f: S^{4n+4k} \rightarrow \text{BHomeo}(\mathbb{R}^{2n})] \mapsto \int_{S^{4n+4k}} f^*(p_{n+k})$
 is non-zero.

Note: (2) $\Rightarrow \pi_{2n+4k-2}(\text{Diff}_2(D^{2n}))_{\mathbb{Q}} \cong \pi_{4n+4k}(\text{BHomeo}(\mathbb{R}^{2n}))_{\mathbb{Q}} \neq 0$

for $k \leq \frac{5}{4}n$

i.e. $2n+4k-2 \leq 7n$



Aim: Understand the strategy to prove that $p_{n+k} \neq 0 \in H^*(\text{BHomeo}(\mathbb{R}^{2n}))$ in the slightly smaller range $k \leq n$.

$$\begin{array}{ccccc} & & H^*(\text{BHomeo}(\mathbb{R}^{2n})) & \xrightarrow{\kappa} & H^{*-2n}(\text{BHomeo}(M)) \\ & \nearrow & \downarrow & & \downarrow \\ \mathbb{Q}[p_1, p_2, \dots] & & H^*(\text{BO}(2n)) & \xrightarrow{\kappa} & H^{*-2n}(\text{BDiff}(M)) \end{array}$$

$L_d \in \mathbb{Q}[p_1, p_2, \dots]$ Hirzebruch L-polynomial of homogeneous degree $= 4d$

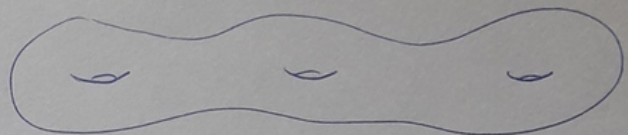
[Hirzebruch] • If $\dim(M) = 4d$
(closed, oriented)

$\langle L_d(TM), [M] \rangle = \text{signature of } M$

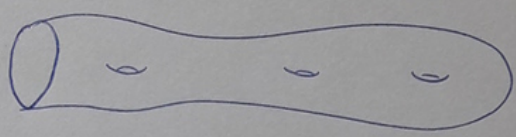
[Berglund-
Bergström]
'18

• If P is any monomial of degree $4d$
then the coefficient of P in L_d is $\neq 0$.

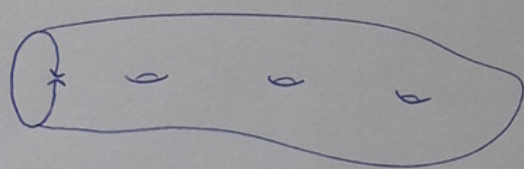
$$W_g = \#_g (S^n \times S^n)$$



$$W_{g,1} = W_g \setminus \text{int}(D^{2n})$$



$$W_{g,1}^* = W_{g,1} \setminus (\text{one point on } \partial)$$



Fix $\frac{n+1}{4} \leq a, b \leq n : a+b = n+k$ possible since $k \leq n$

$$\text{BDiff}_2(W_{g,1}) \xrightarrow{\text{restrict}} \text{BDiff}_2(W_{g,1}^*) \xrightarrow{\text{1-point compactify, collapse } \partial} \text{BHomeo}(W_g)$$

$x \in H_*$

$$\begin{aligned} \langle \kappa(L_{n+k}), x \rangle &\neq 0 \\ \langle \kappa(P), x \rangle &= 0 \\ \text{unless } P &= p_a p_b \end{aligned}$$

$$\uparrow \uparrow x = \kappa(p_a p_b)^*$$

$z \in H_*$

$$\begin{aligned} \langle \kappa(L_{n+k}), z \rangle &\neq 0 \\ \langle \kappa(P), z \rangle &= 0 \\ \text{unless } P &= p_{n+k} \end{aligned}$$

$$\Downarrow \text{write } L_{n+k} = \lambda \cdot p_{n+k} + \left(\begin{array}{l} \text{lin. comb. of} \\ \text{decomposable} \\ \text{monomials} \end{array} \right)$$

$$0 \neq \langle \kappa(L_{n+k}), z \rangle = \lambda \cdot \langle \kappa(p_{n+k}), z \rangle$$

$$\Downarrow p_{n+k} \neq 0$$

Galatius-Paudal-Williams

for $g \geq 2*+3$,

$$H^* \cong \mathbb{Q}[\kappa(c) \mid c \text{ certain monomials in } e, p; \frac{n+1}{4} \leq c \leq n]$$

↑
higher-dimensional Madsen-Weiss theorem needs $2n \geq 6$

$$x \longrightarrow y \longrightarrow \varphi_*(y) \longrightarrow z$$

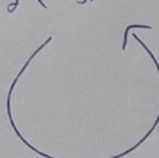
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$$\text{BDiff}_2(W_{g,1}) \longrightarrow \text{BDiff}_2(W_{g,1}^*) \longrightarrow \text{BHomeo}(W_g)$$

$$\downarrow \approx$$

$$\text{BEmb}_2(W_{g,1}^*, W_{g,1}^*)$$

Lemma



φ

$$\varphi^*: \kappa(h_{n+k}) \mapsto \kappa(h_{n+k})$$

$$\kappa(P) \mapsto \kappa(P)$$

P decomposable monomial not containing P_b

$$\kappa(P) \mapsto 0$$

P decomposable monomial containing P_b

$$\langle \kappa(h_{n+k}), z \rangle = \langle \kappa(h_{n+k}), \varphi_*(y) \rangle = \langle \varphi^* \kappa(h_{n+k}), y \rangle = \langle \kappa(h_{n+k}), y \rangle = \langle \kappa(h_{n+k}), x \rangle \neq 0$$

if P decomposable monomial not containing P_b , then:

$$\langle \kappa(P), z \rangle = \langle \kappa(P), \varphi_*(y) \rangle = \langle \varphi^* \kappa(P), y \rangle = \langle \kappa(P), y \rangle = \langle \kappa(P), x \rangle = 0$$

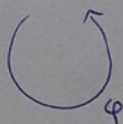
if P decomposable monomial containing P_b , then:

$\uparrow$
since $P \neq P_b$

$$\langle \kappa(P), z \rangle = \langle \kappa(P), \varphi_*(y) \rangle = \langle \varphi^* \kappa(P), y \rangle = \langle 0, y \rangle = 0.$$

Next: How to construct

$$\text{BEmb}_2(W_{g,1}^*, W_{g,1}^*)$$



φ

Where $\kappa(l_{n+k})$ comes from

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lemma:
$$\text{BDiff}_2(W_{g,1}) \xrightarrow{1\text{-pt-compactify}} \text{BHomeo}_2(W_{g,1}) \longrightarrow \text{BhAut}_2(W_{g,1})$$

on $H^* :=$
$$\kappa(l_{n+k}) \longleftarrow \bar{\kappa}(l_{n+k})$$

Idea of proof — Use the signature theorem.

For any M^{2n+4k} ($TM \cong$, trivial) and
$$\begin{array}{ccc} E^{4n+4k} & & \\ \pi \downarrow & & \\ M & \xrightarrow{f} & \text{BDiff}_2(W_{g,1}) \end{array}$$

$$\begin{aligned} \langle \kappa(l_{n+k}), f_*[M] \rangle &= \langle l_{n+k}(T^*E), [E] \rangle && \text{(naturality \& construction of } \kappa) \\ &= \langle l_{n+k}(TE), [E] \rangle && (TE \cong T^*E \oplus \pi^*TM) \\ &= \sigma(E) && \text{(Hirzebruch signature thm)} \end{aligned}$$

Define $\bar{\kappa}(l_{n+k}) \in H^*(\text{BhAut}_2(W_{g,1})) \cong \text{Hom}(H_*(\text{BhAut}_2(W_{g,1})), \mathbb{Q})$

by
$$f_*[M] \longmapsto \sigma(E)$$

where
$$\begin{array}{ccc} E & & \\ \downarrow & & \\ M^{2n+4k} & \xrightarrow{f} & \text{BhAut}_2(W_{g,1}) \end{array}$$

Now only a fibration with fibres $\simeq W_{g,1}$
(E is not generally a manifold!)

E still has Poincaré duality of formal dimension $4n+4k$
so $H^{2n+2k}(E)$ has a symmetric bilinear form
so $\sigma(E)$ still makes sense.

//

Where $\kappa(P)$ comes from (P decomposable monomial) :

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Topological monoids

$$X = \text{hAut}_2(W_{g,1})$$

composition

$$Y = \text{Map}_*(W_{g,1}, \text{SO}(2n))$$

pointwise multⁿ in $\text{SO}(2n)$

(actually a group)

X acts on Y

semi-direct product $X \ltimes Y$

"Linearisation" : $\text{Diff}_2(W_{g,1}^*) \longrightarrow X \ltimes Y$

$$\begin{array}{ccc} \mathbb{R}^{2n} \times W_{g,1}^* \cong TW_{g,1}^* & \xrightarrow{df} & TW_{g,1}^* \\ \downarrow & & \downarrow \\ W_{g,1}^* & \xrightarrow{f} & W_{g,1}^* \end{array} \quad \begin{array}{ccc} W_{g,1}^* & \xrightarrow{df} & \text{GL}_{2n}^+(\mathbb{R}) \\ \cong \downarrow & & \uparrow \cong \\ W_{g,1} & \xrightarrow{\in Y} & \text{SO}(2n) \end{array}$$

$$\begin{array}{ccc} W_{g,1}^* & \xrightarrow{f} & W_{g,1}^* \\ \downarrow & & \downarrow \\ W_{g,1} & \xrightarrow{\in X} & W_{g,1} \end{array} \quad \begin{array}{c} \text{1-p+ compact} \end{array}$$

Lemma

$$\begin{array}{ccc} \mathbb{Q}[p_1, p_2, \dots] & & \\ \cup & \searrow \kappa & \\ (\text{decomposables}) & \xrightarrow{\kappa} & H^*(B\text{Diff}_2(W_{g,1}^*)) \end{array}$$

$$\begin{array}{ccc} & & \uparrow \\ & & H^*(B(X \ltimes Y)) \\ (\text{decomposables}) & \xrightarrow{\exists \tilde{\kappa}} & \end{array}$$

$$\begin{array}{c} \cap \\ H^*(B\text{SO}(2n)) \\ \parallel \\ \mathbb{Q}[e, p_1, \dots, p_n \mid p_n = e^2] \end{array}$$

$$\begin{array}{ccc}
 \kappa(L_{\text{unk}}) & \xleftarrow{\quad} & \bar{\kappa}(L_{\text{unk}}) \\
 \\
 \kappa(P) & \text{BDiff}_2(W_{g,1}^*) & \xrightarrow{\quad} \text{BhAut}_2(W_{g,1}) = BX \\
 \uparrow & \downarrow & \\
 \bar{\kappa}(P) & B(X \ltimes Y) &
 \end{array}$$

$$\begin{aligned}
 X &= \text{hAut}_2(W_{g,1}) \\
 Y &= \text{Map}_*(W_{g,1}, \text{so}(2n))
 \end{aligned}$$

P decomposable

Idea: Build the endomorphism φ of $\text{BDiff}_2(W_{g,1}^*)$
 via $\cdot \text{id}$ on $\text{BhAut}_2(W_{g,1}) = BX$
 $\cdot$ something non-trivial on $B(X \ltimes Y)$.

Manifold calculus

1st formulation [Goodwillie-Weiss'99]

$$\begin{array}{c}
 \vdots \\
 \downarrow f_4 \\
 T_3 \text{Emb}_2(M, N) \longleftrightarrow \text{fib}(f_3) = L_3 \text{Emb}_2(M, N) \\
 \downarrow f_3 \\
 T_2 \text{Emb}_2(M, N) \longleftrightarrow \text{fib}(f_2) = L_2 \text{Emb}_2(M, N) \\
 \downarrow f_2 \\
 \text{Emb}_2(M, N) \xrightarrow{\quad} T_1 \text{Emb}_2(M, N)
 \end{array}$$

Analogy $T_i \text{Emb}_2(-, N)$ is the " i^{th} Taylor approximation" of

the functor $\text{Emb}_2(-, N) : \left\{ \begin{array}{l} \text{smooth } d\text{-manifolds} \\ \text{open embeddings} \end{array} \right\}^{\text{op}} \longrightarrow \text{Spaces}$

Prop [Weiss] $T_i \text{Emb}_2(M, N) \simeq \text{Imm}_2(M, N) \overset{\text{h-principle}}{\simeq} \text{fImm}_2(M, N)$

(
immersions

(
formal immersions

$$\begin{array}{ccc} TM & \xrightarrow{\varphi} & TN \\ \downarrow & \text{f} & \downarrow \\ M & \xrightarrow{\quad} & N \end{array} \quad \begin{array}{l} \varphi \text{ linear \&} \\ \text{injective on} \\ \text{fibres} \end{array}$$

Thm [Weiss] $L_i \text{Emb}_2(M, N) \simeq \Pi_2 \left(\begin{array}{c} \mathbb{Z}_k \\ \downarrow \star \\ \tilde{C}_k(M) \end{array} \right) \longrightarrow \text{a certain fibration depending on } N.$

$\nwarrow$
 $\pi_*(-)$ computable

Remark Everything works similarly for any "good" functor $\left\{ \begin{array}{l} \text{smooth } d\text{-manifolds} \\ \text{open embeddings} \end{array} \right\}^{\text{op}} \longrightarrow \text{Spaces}$

Thm [Goodwillie-Klein-Weiss '15] ("Convergence")

If $\dim(N) - \underbrace{\text{hdim}(M)}_{\text{handle dimension}} \geq 3$

then $\text{Emb}_2(M, N) \longrightarrow \text{holim}_{i \rightarrow \infty} T_i \text{Emb}_2(M, N) =: T_\infty \text{Emb}_2(M, N)$

is a homotopy equivalence.

2nd formulation [Baavida de Brito - Weiss '18]

Instead of looking at $L_i \text{Emb}_2(M, N) := \text{hofib}(T_i \rightarrow T_{i-1})$,

try to understand $\boxed{\text{hofib}(T_i \rightarrow T_1)}$ for all i .

Thm [Baavida-de-Brito-Weiss]

There is a homotopy pullback square

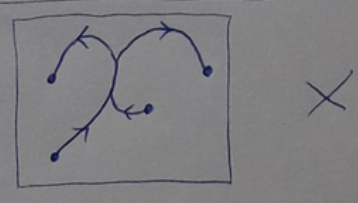
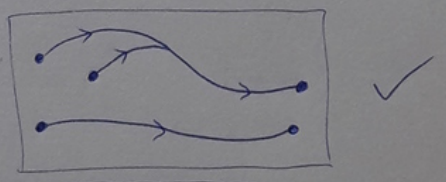
$$\begin{array}{ccc} T_i \text{Emb}_2(M, N) & \longrightarrow & \text{Map}_2(\text{con}(M; i), \text{con}(N; i)) \\ \downarrow & & \downarrow (*) \\ T_1 \text{Emb}_2(M, N) & \longrightarrow & \text{Map}_2(\text{con}^{\text{loc}}(M; i), \text{con}^{\text{loc}}(N; i)) \end{array}$$

so $\boxed{\text{hofib}(T_i \rightarrow T_1) \simeq \text{hofib}((*))}$

$\text{con}(M; i) =$ "configuration category" of M

$= \begin{cases} \text{objects} & : \text{ordered configurations of } \leq i \text{ points in } M \\ \text{morphisms} & : \text{paths of configurations where points may } \underline{\text{collide}}, \\ & \text{but NOT } \underline{\text{anticollide}}. \end{cases}$

Eg



$\left(\begin{array}{l} \text{con}^{\text{loc}}(M; i) \\ = \text{"local" version of } \text{con}(M; i) \end{array} \right)$

Now set $M=N=W_{g,1}^*$

$$\dim = 2n$$

$$\text{hdim} = n$$

handle dimension rel. ∂

$$\text{hdim}(M) = \min_{\mathcal{H} \text{ handle decomp' of } M \text{ rel. } \partial} \left\{ \max \{ \text{index}(H) \mid H \text{ handle in } \mathcal{H} \} \right\}$$

$$\text{For } M=W_{g,1}^* : \left[\begin{array}{l} \text{start with } \partial W_{g,1}^* \cong \mathbb{R}^{2n} \\ \text{attach } 2g \text{ } n\text{-handles} \end{array} \right] \quad \dim - \text{hdim} = n$$

$$\text{For } M=W_{g,1} : \left[\begin{array}{l} \text{start with } \partial W_{g,1} \cong S^{2n} \\ \text{attach } 2g \text{ } n\text{-handles} \\ \text{attach one } 2n\text{-handle} \end{array} \right] \quad \dim - \text{hdim} = 0$$

So we may apply manifold calculus to $\text{Emb}_2(W_{g,1}^*, W_{g,1}^*)$ if $n \geq 3$.

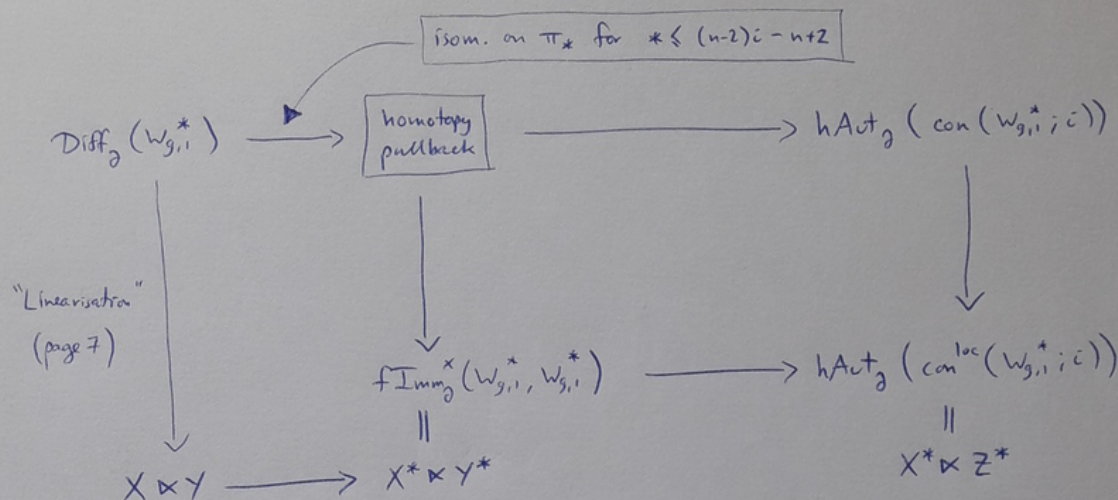
isom. on π_* for $* \leq (n-2)c - n+2$ [Goodwillie-Klein-Weiss]

$$\begin{array}{ccccc} \text{Emb}_2(W_{g,1}^*, W_{g,1}^*) & \xrightarrow{\quad} & T_c \text{Emb}_2(W_{g,1}^*, W_{g,1}^*) & \xrightarrow{\quad} & \text{Map}_2(\text{con}(W_{g,1}^*, i), \text{con}(W_{g,1}^*, i)) \\ \downarrow \text{is} & & \downarrow \text{hty pullback} & & \downarrow \\ \text{Diff}_2(W_{g,1}^*) & & T_c \text{Emb}_2(W_{g,1}^*, W_{g,1}^*) & \xrightarrow{\quad} & \text{Map}_2(\text{con}^{\text{loc}}(W_{g,1}^*, i), \text{con}^{\text{loc}}(W_{g,1}^*, i)) \\ & & \downarrow \text{is} & & \\ & & \text{fImm}_2(W_{g,1}^*, W_{g,1}^*) & & \end{array}$$

These are all topological monoids (or at least A_{∞} -spaces).

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Restrict to homotopy-invertible path-components:



$$X = \text{hAut}_2(W_{g,1})$$

$$X^* = \text{hAut}_2(W_{g,1}^*)$$

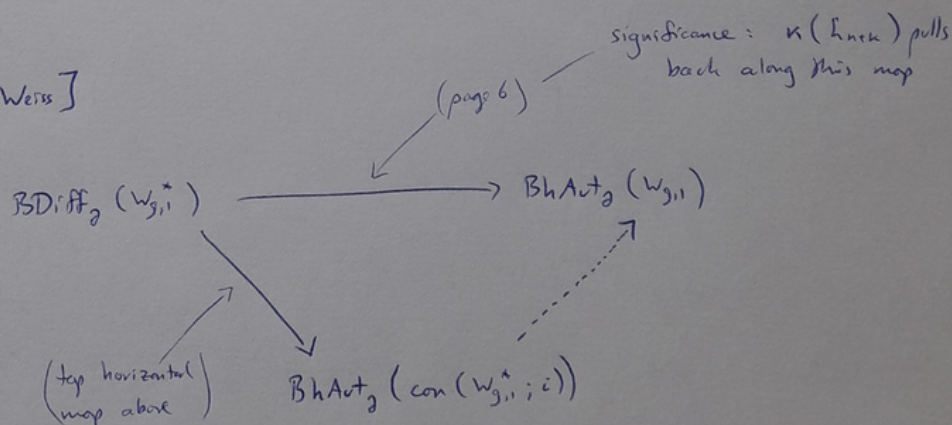
$$Y = \text{Map}_*(W_{g,1}, \text{SO}(2n-1))$$

$$Y^* = \text{Map}_*(W_{g,1}^*, \text{SO}(2n-1))$$

$$Z^* = \text{Map}_*(W_{g,1}^*, \text{hAut}(\text{con}(\mathbb{R}^{2n}; c)))$$

Thm [S. Tillmann-Weiss; Weiss]

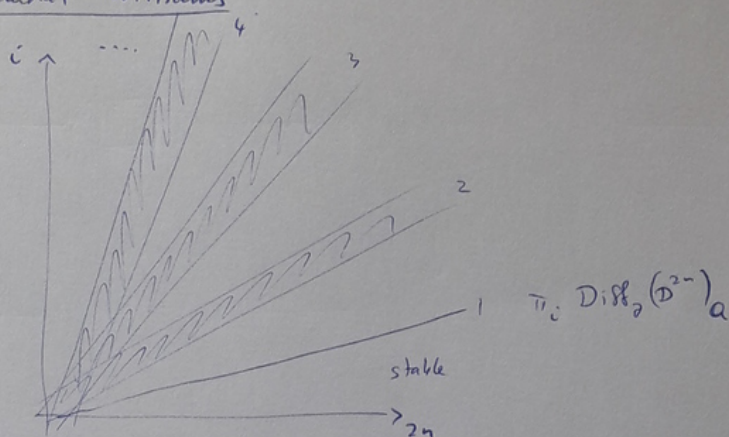
The map
factors like:



(after killing the homotopy groups of $\text{BhAut}_2(W_{g,1})$
in degrees $\geq (n-2)c - n$)

The work of Kupers - Randal-Williams

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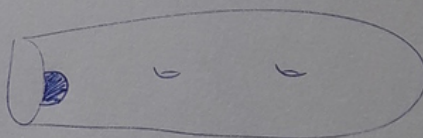


Thm ²⁰ [KRW] Above the stable range & outside of these "bands", $\pi_* \text{Diff}_2(D^{2n})_a$ is given precisely by the (duals of) exotic Pontryagin classes.

Idea:

Weiss fiber sequence

$$\text{Diff}_2(D^{2n}) \longrightarrow \text{Diff}_2(W_{g,1}) \longrightarrow \text{Emb}_2(W_{g,1}^*, W_{g,1}^*)$$



① Calculate $\pi_* L_{\epsilon} \text{Emb}_2(W_{g,1}^*, W_{g,1}^*)$ explicitly

Emb. Calc $\Rightarrow \pi_* \text{Emb}_2(W_{g,1}^*, W_{g,1}^*)$ is concentrated in the "bands"

② [Galatius - Randal-Williams]: $H^*(B\text{Diff}_2(W_{g,1}))$ well-understood.

X hty associative H-space $\longrightarrow$ [Cartan-Serre]

$$\pi_*(X)_{\mathbb{Q}} \cong PH_*(X)$$

[M. Morava - Moore]

$$H_*(X) \cong \mathcal{U}(PH_*(X))$$

$$\cong \mathcal{U}(\pi_*(X)_{\mathbb{Q}})$$

X nilpotent $\longrightarrow$ unstable Adams SS

$$(\text{gen. } H_*) \Rightarrow \pi_*$$

But $B\text{Diff}_2(W_{g,1})$ is not nilpotent!

$$\pi_1(-) \cong \pi_0 \text{Diff}_2(W_{g,1}) \xrightarrow[\text{action on } H_n]{\text{f.i. subgroup of}} \begin{cases} Sp_{2g}(\mathbb{Z}) \text{ mod } 2 \\ O_{2g}(\mathbb{Z}) \text{ mod } 2 \end{cases}$$

$$\text{Let } \text{Tor}_2(W_{g,1}) := \ker(\text{Diff}_2(W_{g,1}) \longrightarrow \text{Aut}(H_n(-)))$$

Then $B\text{Tor}_2(W_{g,1}) \longrightarrow B\text{Diff}_2(W_{g,1})$ is a covering space, so $\pi_{\geq 2}(-)$ agree.

Then [KRW] • $B\text{Tor}_2(W_{g,1})$ is nilpotent

• analogue of [GRW] for $H^*(B\text{Tor}_2(W_{g,1}))$

(hom stab' + stable H_*) $\longrightarrow$ $\begin{cases} \bullet \text{ concentrated in "bands"} \\ \bullet \longleftrightarrow \text{exotic P. classes} \end{cases}$

unstable Adams SS $\Rightarrow \square$.