


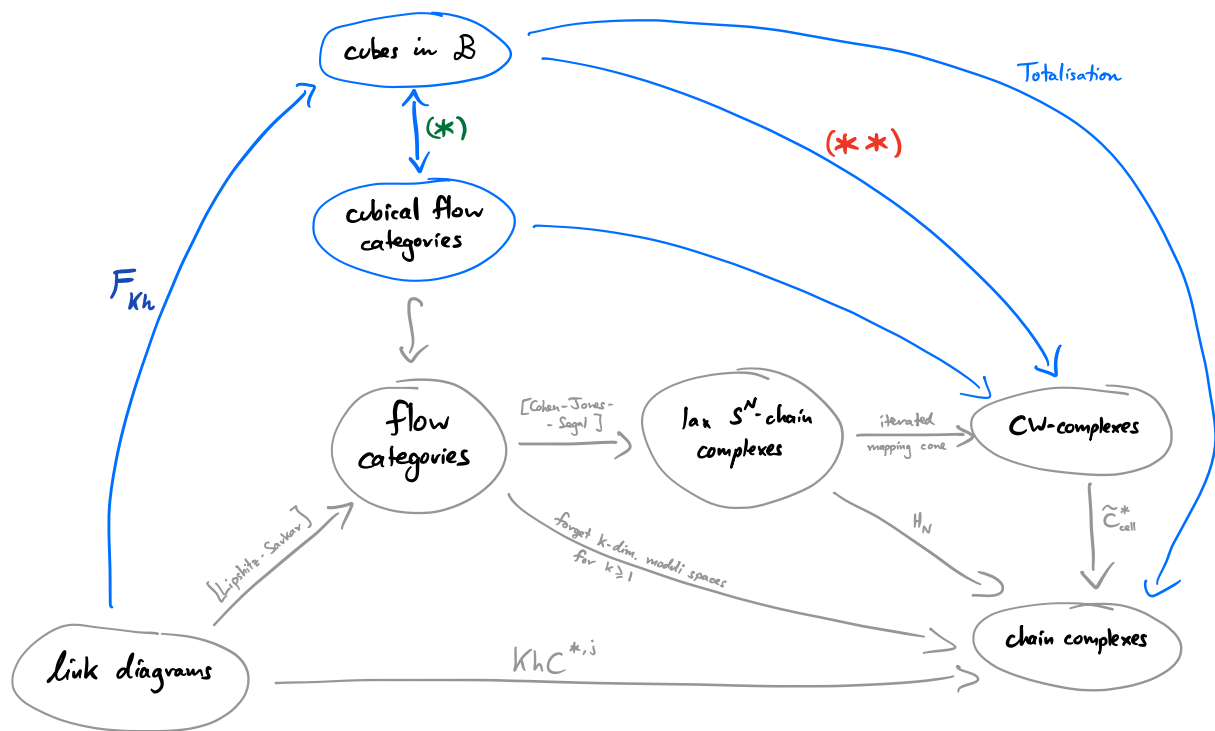
Spectrification of Khovanov homology II

GeMAT seminar, IMAR

24 March 2022

Plan

- (last time) I The original construction of Lipshitz - Sarkar
 - (today) II A second, simpler construction (Larson - Lipshitz - Sarkar, Hu - Kriz - Kriz)
 - (8 April) III An extension to tangles and tangle cobordisms
 $(0,1)$ - TQFT $(0,1,2)$ - TQFT
- 



Plan

- | | | |
|--------------|---|---|
| Setup | { | 1. Flow categories & cubical flow categories |
| | | 2. The Burnside category \mathcal{B} , cubical diagrams |
| | | 3. The correspondence $(*)$ |
| Construction | { | 4. The Khovanov functor $F_{Kh}(D)$ of a link diagram D |
| | | 5. Invariance of F_{Kh} |
| | | 6. The <u>realisation</u> $(**)$ |
| Applications | { | 7. Corollary: \perp , $\#$, mirrors of links |
| | | 8. Corollary: non-trivial Sg^n |
| | | 9. Universal Khovanov homology (deformations of Kh)
& other questions |

1. Flow categories

A flow category \mathcal{C} is a category where

- its object set $ob(\mathcal{C})$ is graded by
 $gr: ob(\mathcal{C}) \rightarrow \mathbb{Z}$

- $Hom(x, x) = \{id_x\}$

- if $x \neq y$ and $gr(x) - gr(y) = k+1$

then $Hom(x, y)$ is a compact k -dimensional $\langle k \rangle$ -manifold

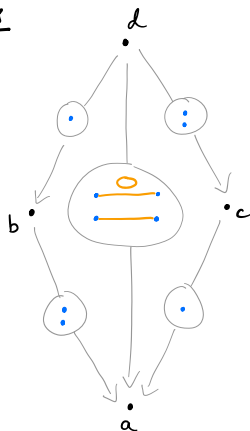
- the disjoint union of composition maps

$$\coprod_{\substack{z \neq x \\ z \neq y \\ gr(z) - gr(y) = i}} Hom(z, y) \times Hom(x, z) \rightarrow Hom(x, y)$$

is a diffeomorphism onto $\partial_i Hom(x, y)$

a d -dimensional $\langle c \rangle$ -manifold is a manifold with corners M (modelled on $\mathbb{R}_+^d = [0, \infty)^d$), equipped with a decomposition of ∂M into $\partial_1 M, \dots, \partial_c M$, where each $\partial_i M$ is a disjoint union of codimension-1 faces.

Examples



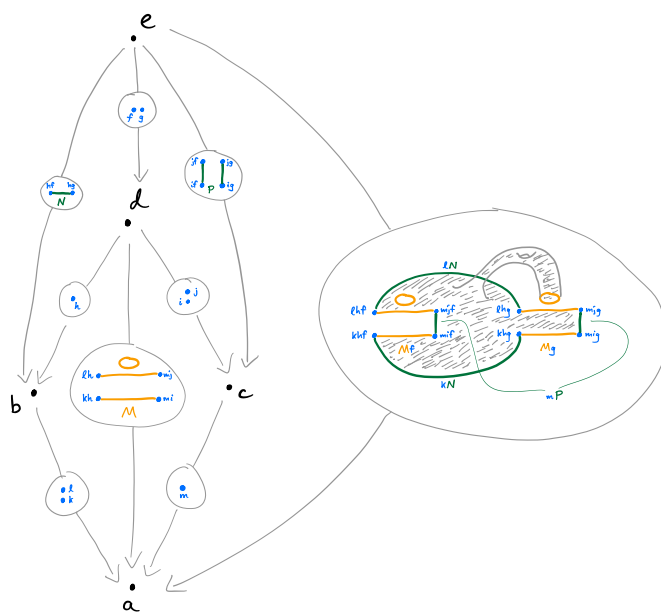
Eg.  2-dim $\langle 2 \rangle$ -mfld

 2-dim $\langle 3 \rangle$ -mfld

$[0, 1]^n$ n -dim $\langle n \rangle$ -mfld

 3-dim $\langle 4 \rangle$ -mfld

Δ^n n -dim $\langle n+1 \rangle$ -mfld



A framed flow category is a flow category \mathcal{C}

together with framed embeddings of each $\langle k \rangle$ -manifold $\text{Hom}(x, y)$ into some Euclidean space with corners, and these should be compatible with composition in \mathcal{C} .

Note:

When $g^v(x) - g^v(y) = 1$, $\text{Hom}(x, y)$ is a compact 0-dim. $\langle 0 \rangle$ -manifold, i.e. a finite set.

A framed embedding of $\text{Hom}(x, y)$ into Euclidean space determines a sign for each point of $\text{Hom}(x, y)$.



objects \longrightarrow generators

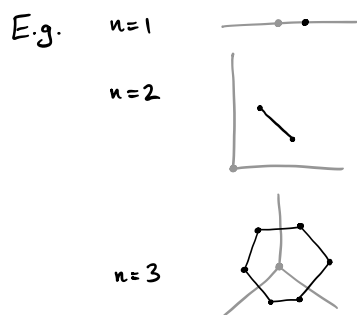
$$\left. \begin{array}{l} \text{Hom}(x, y) \\ g^v(x) - g^v(y) = 1 \end{array} \right\} \longrightarrow \text{differential} \quad \delta(x) = \sum_y \underbrace{\# \text{Hom}(x, y)}_{\substack{\text{counted} \\ \text{with sign}}} \cdot y$$

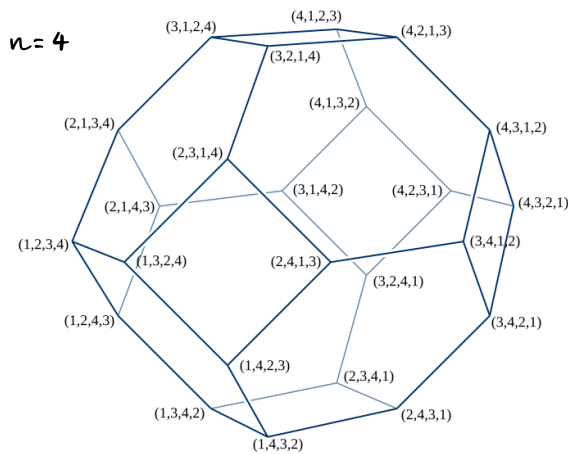
Def

Consider the $n!$ points $(\sigma(1), \dots, \sigma(n)) \in \mathbb{R}^n$ for $\sigma \in \Sigma_n$.

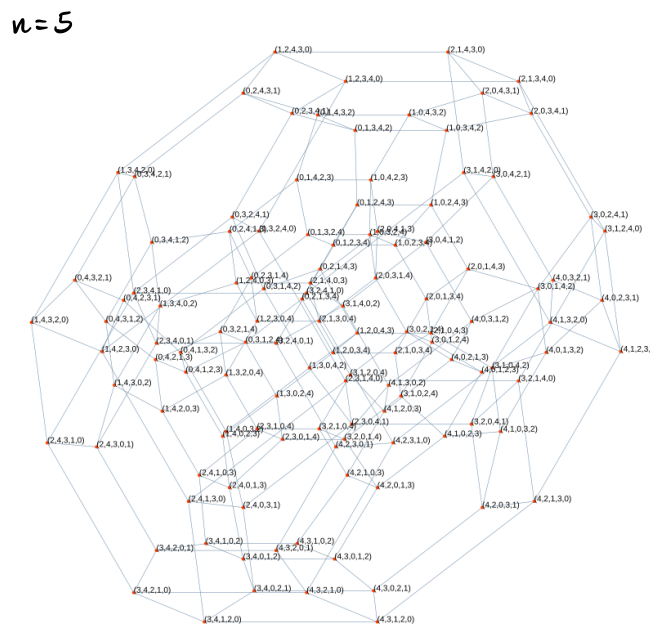
Note: they all lie on the affine subspace $\{x \in \mathbb{R}^n \mid \sum x_i = \frac{1}{2}n(n+1)\}$.

The $(n-1)$ -dimensional permutahedron Π_{n-1} is the convex hull of these points.



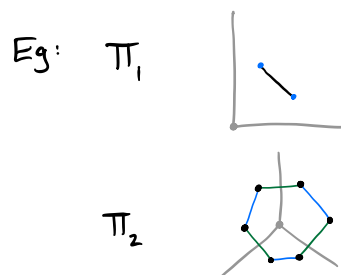


(source : Wikipedia)



(source : Wikipedia)

Lemma: Π_k is a k -dimensional $\langle k \rangle$ -manifold



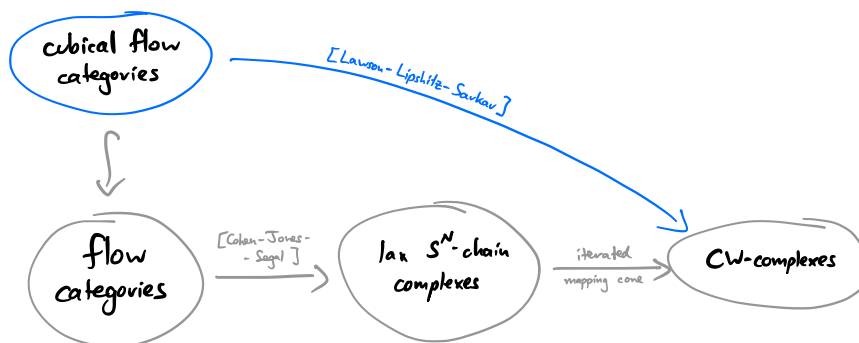
Proposition: There is a flow category $\mathcal{C}(n)$ with

- objects = $\{0,1\}^n$
- grading $gr(v_1, \dots, v_n) = \sum_i v_i$
- when $gr(u) - gr(v) = k > 0$, $Hom(u, v) = \begin{cases} \Pi_{k-1} & \text{if } u_i \geq v_i \\ \emptyset & \text{otherwise} \end{cases}$

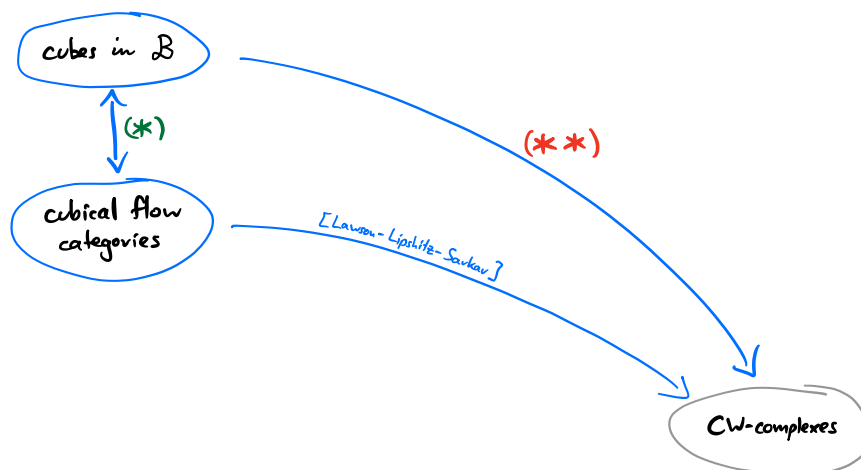
Rmk : The morphism spaces $\text{Hom}(u, v)$ in $\mathcal{C}(n)$ may be thought of as moduli spaces of "broken Morse flows" on the n -cube $[0, 1]^n$.

Def : A cubical flow category is a flow category \mathcal{C} and a functor $f: \mathcal{C} \rightarrow \mathcal{C}(n)$ that preserves the grading up to a global shift, such that each $f: \text{Hom}_{\mathcal{C}}(x, y) \rightarrow \underbrace{\text{Hom}_{\mathcal{C}(n)}(f(x), f(y))}_{\text{permutahedron or empty}}$ is a trivial covering.

- Rmk • Cubical flow categories are very rigid compared with general flow categories.
- This allows [LLS] to give a construction of the realisation of a cubical flow category that is much simpler than the one of [CSS], which works for all flow categories:



- We'll see this construction later, via cubes in \mathcal{B} :



2. The Burnside category \mathcal{B} and cubical diagrams

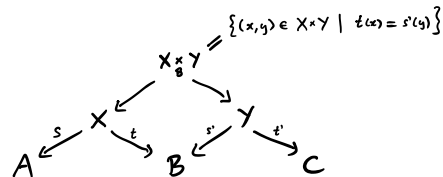
Def \mathcal{B} has objects = finite sets

$\text{Hom}(A, B) =$ diagrams of functions of the form $A \xleftarrow{s} X \xrightarrow{t} B$
 = correspondences

2-morphisms from $A \xleftarrow{s} X \xrightarrow{t} B$ to $A \xleftarrow{s'} X' \xrightarrow{t'} B$ are bijections

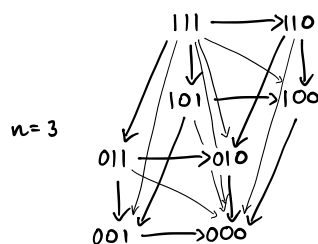
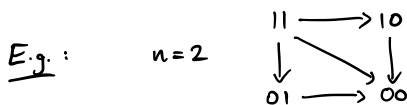
$X \cong X'$ such that $A \xleftarrow{s} X \xrightarrow{t} B \cong A \xleftarrow{s'} X' \xrightarrow{t'} B$ commutes.

Composition is given by



The cube category has objects $\{0, 1\}^n$
 $\text{Hom}(u, v) = \begin{cases} \{\varphi_{u,v}\} & \text{if } u_i \geq v_i \\ \emptyset & \text{otherwise} \end{cases}$

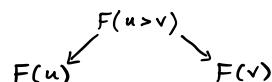
Notation : \mathbb{Z}^n



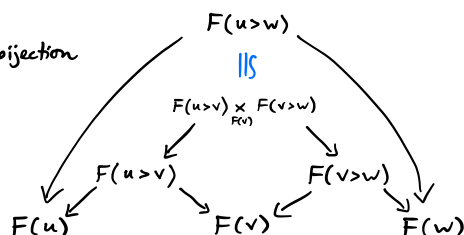
A cube in \mathcal{B} consists of

- for each object $v \in \{0,1\}^n$, a finite set $F(v)$

- for each pair $u > v$, a correspondence



- for each triple $u > v > w$, a bijection



- satisfying a certain condition for any quadruple $u > v > w > x$

(Formally, this is a strictly unitary lax 2-functor $\mathbb{Z}^n \rightarrow \mathcal{B}$.)

Lemma: It is enough to specify:

- $F(v)$ for each vertex u ,

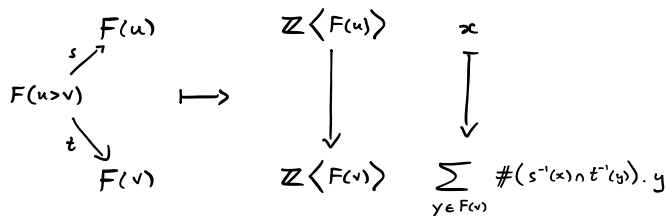
- $F(u > v)$ for each edge $u \rightarrow v$,

- the composite $F(u > v) \times_{F(v)} F(v > w) \cong F(u > w) \cong F(u > v') \times_{F(v')} F(v' > w)$ for each 2-face $u \begin{smallmatrix} \nearrow v \\ \searrow v' \end{smallmatrix} \rightarrow w$.

The underlying chain complex of a cube in \mathcal{B} :

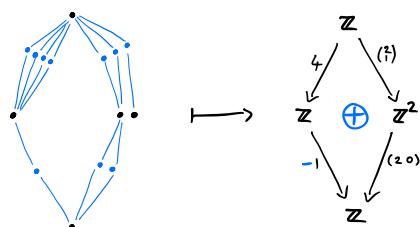
(also called its totalisation)

- First construct a cube of free abelian groups by



- Then add signs to certain edges of the cube and sum.

Eg.



3. The correspondence

cubes in \mathcal{B}



cubical flow categories

Recall

- In cubical flow categories, morphism spaces are disjoint unions of permuthedra.
- So most of the complication is taken care of by the combinatorics of permuthedra.
- The additional information is exactly encoded by a cube in \mathcal{B} .

cubes in \mathcal{B}



cubical flow categories

$$\mathcal{C} \xrightarrow{f} \mathcal{C}(n) \quad \text{cubical flow category}$$

objects = $\{0, 1\}^n$

↓

$$F: \mathbb{Z}^n \rightarrow \mathcal{B}$$

$$F(v) = f^{-1}(v)$$

$$F(u > v) = \coprod_{\substack{x \in f^{-1}(u) \\ y \in f^{-1}(v)}} \pi_0 \text{Hom}_{\mathcal{C}}(x, y)$$

disjoint union of permuthedra

$f^{-1}(u) = F(u)$

$f^{-1}(v) = F(v)$

$$F(u > v) \times_{F(v)} F(v > w) \cong F(u > w) \quad \text{induced from composition in } \mathcal{C}.$$



$$F: \mathbb{Z}^n \longrightarrow \mathcal{B} \quad \longrightarrow \quad \mathcal{C} \xrightarrow{f} \mathcal{C}(n)$$

$$\bullet \text{ ob}(\mathcal{C}) = \coprod_{v \in \{0,1\}^n} F(v)$$

$$\bullet \text{ For } u > v \text{ and } x \in F(u), \text{ consider } y \in F(v) \quad \begin{array}{ccc} & F(u > v) & \\ s \swarrow & & \searrow t \\ F(u) & & F(v) \end{array}$$

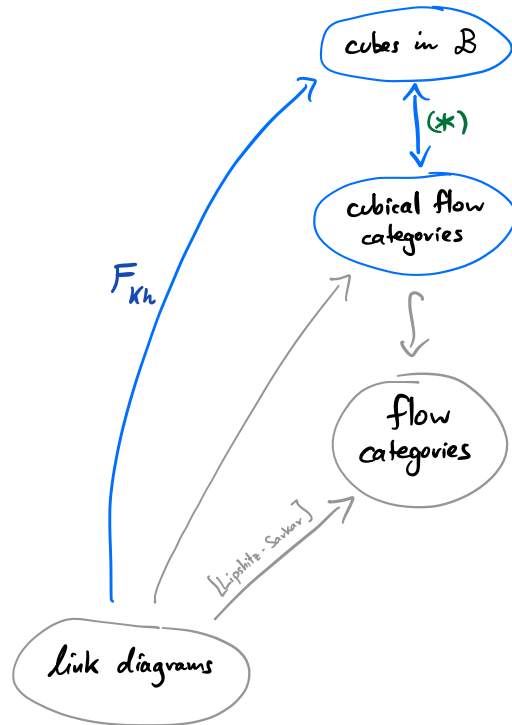
$$\text{and let } \text{Hom}_{\mathcal{C}}(x, y) = (s^{-1}(x) \cap t^{-1}(y)) \times \underbrace{\text{Hom}_{\mathcal{C}(n)}(u, v)}_{\text{permutohedron}}$$

$$\bullet \text{ Composition is defined using } \begin{cases} F(u > v) \times_{F(v)} F(v > w) \cong F(u > w) \text{ from } F \\ \text{composition in } \mathcal{C}(n) \end{cases}$$

Lemma: These constructions are inverse to each other.
In other words:

$$\{\text{cubical flow categories}\} = \begin{array}{c} (\text{the standard permutohedron flow category } \mathcal{C}(n)) \\ + \\ \{\text{cubes in the Burnside category } \mathcal{B}\} \end{array}$$

The flow categories constructed by [Lipshitz-Sarkar] are cubical, so we may describe them equivalently as cubes in \mathcal{B} :



Next: describe F_{Kh} ...

4. The Khovanov functor of a link diagram

Warm-up / recollection : link diagram $D \rightsquigarrow$ Khovanov cube $F_{Kh}^{Ab}(D)$ of abelian groups

- add signs to certain edges
 - take \oplus within each homological grading
- \rightsquigarrow Khovanov chain complex

- label crossings by $\{1, 2, \dots, n\}$

- $v \in \{0, 1\}^n \rightsquigarrow D_v =$ perform 0-resolution $\diagup \rightsquigarrow \diagdown$ (at the i^{th} crossing if $v_i = 0$
perform 1-resolution $\diagup \rightsquigarrow \diagup$ at the i^{th} crossing if $v_i = 1$

This is an embedded disjoint union of circles in \mathbb{R}^2 .

$$F_{Kh}^{Ab}(D)(v) = \bigotimes_{\pi_0(D_v)} \mathbb{Z}\langle x_+, x_- \rangle$$

- $u \rightarrow v$ edge of the cube

- if $D_u \rightsquigarrow D_v$ merges two circles, apply m :

$$\begin{aligned} x_+ \otimes x_+ &\mapsto x_+ \\ x_+ \otimes x_- &\mapsto x_- \\ x_- \otimes x_+ &\mapsto x_- \\ x_- \otimes x_- &\mapsto 0 \end{aligned} \quad (\text{and identity on other components})$$

- if $D_u \rightsquigarrow D_v$ splits a circle in two, apply Δ :

$$\begin{aligned} x_+ &\mapsto x_+ \otimes x_- \\ &\quad + x_- \otimes x_+ \quad (\text{and identity on other components}) \\ x_- &\mapsto x_- \otimes x_- \end{aligned}$$

Aim: link diagram $D \rightsquigarrow$ Khovanov cube $F_{Kh}(D)$ in \mathcal{B}

- $F_{Kh}(D)(v) = \{x_+, x_-\}^{\pi_0(D_v)}$

- $u \rightarrow v$ edge of the cube

$$F_{Kh}^{Ab}(D)(u \rightarrow v) : \mathbb{Z}\langle F_{Kh}(D)(u) \rangle \longrightarrow \mathbb{Z}\langle F_{Kh}(D)(v) \rangle$$

all coefficients are 0 or 1 by construction, so there is no choice:

$$F_{Kh}(D)(u \rightarrow v) = \{(x, y) \in F_{Kh}(D)(u) \times F_{Kh}(D)(v) \mid \text{coeff of } y \text{ in the image of } x \text{ is } 1\}$$

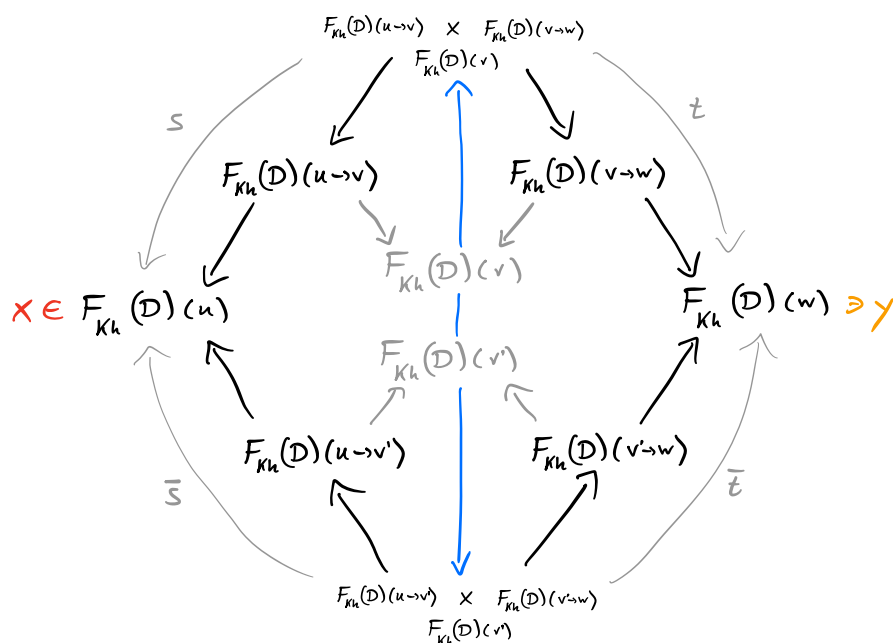
\swarrow
 $F_{Kh}(D)(u)$

\searrow
 $F_{Kh}(D)(v)$

Rmk So far, this is the same information as $F_{Kh}^{Ab}(\mathcal{D})$, repackaged.
 The difference lies in the last part of the construction of $F_{Kh}(\mathcal{D})$.

• $u \begin{matrix} \nearrow v \\ \searrow v' \end{matrix} \rightarrow w$ 2-face of the cube

We need to choose a bijection:



Unpacking this, for each x (labelling of $\pi_0(\mathcal{D}_u)$ by $\{x_+, x_-\}$)
 y (labelling of $\pi_0(\mathcal{D}_w)$ by $\{x_+, x_-\}$)

we need to choose a bijection:

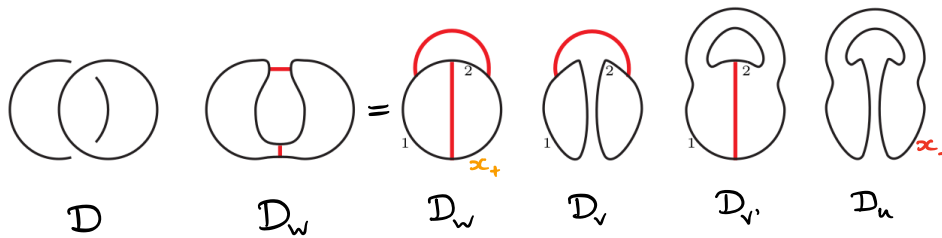
$$s^{-1}(x) \cap t^{-1}(y) = \{ \text{labellings of } \pi_0(\mathcal{D}_v), \text{ compatible with } F_{Kh}(\mathcal{D})(u \rightarrow v \rightarrow w) \}$$

$$\bar{s}^{-1}(x) \cap \bar{t}^{-1}(y) = \{ \text{labellings of } \pi_0(\mathcal{D}_{v'}), \text{ compatible with } F_{Kh}(\mathcal{D})(u \rightarrow v' \rightarrow w) \}$$

In all cases, these sets:

- are both empty, or
 - both have size 1, or
 - both have size 2.
- } no choice!

The last case occurs when:



$$s^{-1}(\times) \cap t^{-1}(\gamma) = \left\{ \begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2} \end{array} \right\}$$

$$\bar{s}^{-1}(\times) \cap \bar{t}^{-1}(\gamma) = \left\{ \begin{array}{c} \text{Diagram 3} \\ \text{Diagram 4} \end{array} \right\}$$

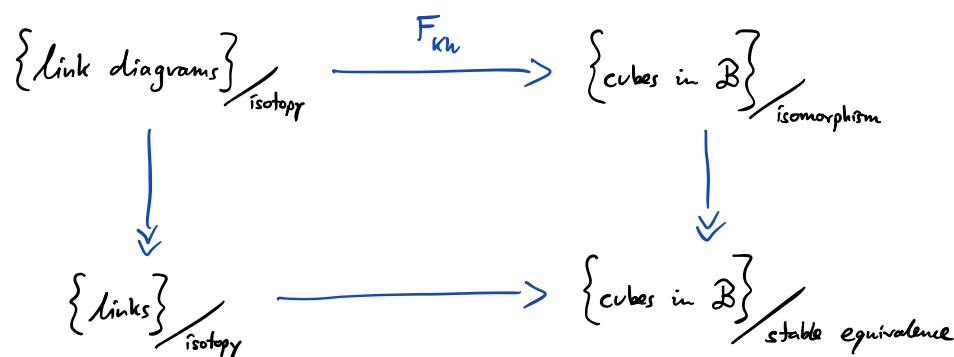
• This is called the "ladybug matching"

• It is the only extra information about the link diagram D that is remembered by $F_{Kh}(D): \mathbb{Z}^n \rightarrow \mathcal{B}$ compared with $F_{Kh}^{Ab}(D): \mathbb{Z}^n \rightarrow Ab$.

\downarrow \downarrow
 Khovanov spectrum Khovanov homology

5. Invariance of F_{Kh}

Thm (Lawson-Lipshitz-Sarkar)



Def "stable equivalence" is the equivalence relation on cubes in \mathcal{B} generated by:

- natural transformations $\underline{Z}^n \begin{array}{c} \curvearrowright \\ \Downarrow \end{array} \mathcal{B}$ whose induced map on underlying chain complexes is a chain homotopy equivalence.
- if $\underline{Z}^N \rightarrow \mathcal{B}$ is obtained from $\underline{Z}^n \rightarrow \mathcal{B}$, $n < N$, by sending all vertices of $\underline{Z}^N \setminus \underline{Z}^n$ to \emptyset .

Idea of proof: Copy the proof of invariance of Khovanov homology under the Reidemeister moves and upgrade to a stable equivalence at each stage.

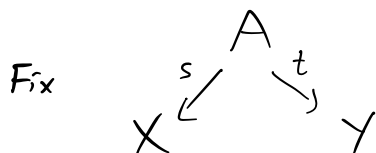
Rmk: We will next construct $\{\text{cubes in } \mathcal{B}\} \xrightarrow{(**)} \{\text{CW-complexes}\}$, which will send stable equivalences to stable homotopy equivalences.

$$\{\text{links}\} / \text{isotopy} \longrightarrow \{\text{cubes in } \mathcal{B}\} / \text{stable equivalence} \xrightarrow{(**)} \{\text{spectra}\}$$

Question: How much information about a link does $(**)$ forget??

6. The realisation of a cube in the Burnside category

Box maps



$$\text{"box"} = \prod_{i=1}^k [a_i, b_i] \subseteq \mathbb{R}^k$$

and "boxes" $\left. \begin{array}{l} B_x \quad x \in X \\ B_y \quad y \in Y \\ B_a \subseteq B_{s(a)} \quad a \in A \end{array} \right\} \begin{array}{l} \text{pairwise} \\ \text{disjoint} \end{array}$

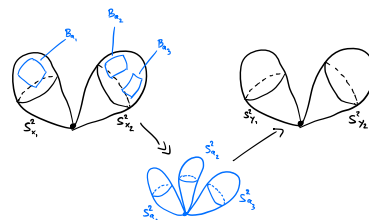
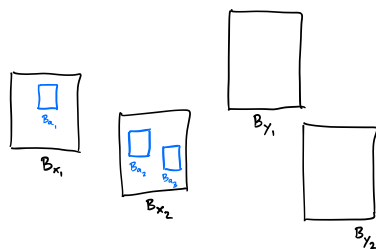
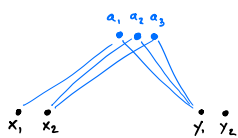
The corresponding box map is

$$\bigvee_{x \in X} S^k = \coprod_{x \in X} B_x \bigg/ \partial \longrightarrow \bigvee_{a \in A} B_a / \partial B_a = \bigvee_{a \in A} S^k \longrightarrow \bigvee_{y \in Y} S^k$$

collapse the complement
of $\coprod_{a \in A} B_a$ to a point

determined by t

Example



Lemma For fixed $X \xleftarrow{s} A \xrightarrow{t} Y$, the space of box maps

$$\bigvee_{x \in X} S^k \longrightarrow \bigvee_{y \in Y} S^k \quad \text{is } \underline{(k-2)\text{-connected}}.$$

Def For a cube $\underline{Z}^n \xrightarrow{F} B$, a k-dim. spatial refinement is a homotopy coherent diagram $\underline{Z}^n \xrightarrow{\tilde{F}} Top.$

- for each object $v \in \underline{Z}^n$, a space $\tilde{F}(v)$
- for each sequence of morphisms

$$v_0 \xrightarrow{f_1} v_1 \xrightarrow{f_2} \dots \xrightarrow{f_n} v_n$$
a continuous map

$$\tilde{F}(f_n, \dots, f_1) : [0, 1]^{n-1} \times \tilde{F}(v_0) \longrightarrow \tilde{F}(v_n)$$
- satisfying some conditions

where $\tilde{F}(v) = \bigvee_{F(v)} S^k$

$$\tilde{F}(f_n, \dots, f_1)(t_1, \dots, t_{n-1}) : \bigvee_{F(v_0)} S^k \longrightarrow \bigvee_{F(v_n)} S^k$$

is a box map associated to the correspondence

$$\begin{array}{ccc} & F(f_n, \dots, f_1) & \\ \swarrow & & \searrow \\ F(v_0) & & F(v_n) \end{array}$$

Proposition If $k \geq n+1$, k-dim. spatial refinements exist and are unique up to hty equivalence of hty coherent diagrams.

→ Idea: construct it recursively, using the fact that the space of box maps is highly-connected.

Remark Homotopy coherent diagrams $\underline{\mathbb{Z}}^n \xrightarrow{\tilde{F}} \text{Top.}$ have
 well-defined iterated mapping cones $|\tilde{F}| \in \text{Top.}$
 ([Vogt, 1973])

Construction

$$\underline{\mathbb{Z}}^n \xrightarrow{F} \mathcal{B} \rightsquigarrow \underline{\mathbb{Z}}^n \xrightarrow{\tilde{F}} \text{Top.} \rightsquigarrow |\tilde{F}| \in \text{Top.}$$

Remark • Both steps depend on the higher faces of the cube.
 • This is essential for preserving the extra info that
 $\underline{\mathbb{Z}}^n \rightarrow \mathcal{B}$ captures!

Proposition If F, G are stably equivalent cubes in \mathcal{B} ,
 then $|\tilde{F}|, |\tilde{G}|$ are stably homotopy equivalent (pointed) spaces,
 i.e. they determine homotopy equivalent (suspension) spectra.

Proposition The composition

$$\begin{array}{ccc} \{\text{links}\} / \text{isotopy} & \xrightarrow{F_{\text{Kh}}} & \{\text{cubes in } \mathcal{B}\} / \text{stable equivalence} \xrightarrow{(**)} \{\text{spectra}\} \\ & & \downarrow \\ & & X_{\text{Kh}}(L) \end{array}$$

- comes equipped with a decomposition $X_{\text{Kh}}(L) = \bigvee_{j \in \mathbb{Z}} X_{\text{Kh}}^j(L)$
- recovers the Khovanov spectrum of [Lipshitz-Sarkar]
- in particular, $\tilde{H}^*(X_{\text{Kh}}^j(L)) \cong \text{Kh}^{*,j}(L)$.

7. Corollary : \perp , $\#$, mirrors of links

This new, much simpler construction of $X_{Kh}(L)$ allows [LLS] to prove:

Thm [LLS]

$$\bullet X_{Kh}(L_1 \perp L_2) \simeq X_{Kh}(L_1) \wedge X_{Kh}(L_2)$$

↑ smash product

$$\bullet X_{Kh}(L_1 \# L_2) \simeq X_{Kh}(L_1) \otimes_{\mathbb{S} \vee \mathbb{S}} X_{Kh}(L_2)$$

↑ tensor product over $\mathbb{S} \vee \mathbb{S} = X_{Kh}(\text{unknot})$

$$\bullet X_{Kh}^j(\underbrace{m(L)}_{\text{mirror of } L}) \simeq X_{Kh}^{-j}(L)^\vee$$

← Spanier-Whitehead dual

Rmk In each case, the proof reduces to a statement about cubes in \mathcal{B} instead of flow categories, which is what makes it tractable.

8. Corollary²: non-trivial S_q^n

Thm [LLS] $\forall n \exists$ link L_n such that its Khovanov homology has a non-trivial $S_q^n: Kh^{i,j}(L_n) \rightarrow Kh^{i+n,j}(L_n)$ operation.

Proof • We need to find L_n such that the spectrum $X_{Kh}(L_n)$ has a non-trivial S_q^n in its cohomology.

• The space $\underbrace{\mathbb{RP}^2 \wedge \dots \wedge \mathbb{RP}^2}_{n \text{ copies}}$ has a non-trivial S_q^n .

• \Rightarrow Enough to find L_n with $X_{Kh}(L_n) \simeq \mathbb{Z}_v \Sigma^k(\mathbb{RP}^2 \wedge \dots \wedge \mathbb{RP}^2)$

• Calculation of Lipshitz-Sarkar:

$$X_{Kh}(\text{left trefoil}) \simeq \mathbb{Z}_v \Sigma^{-4} \mathbb{RP}^2$$

• By the previous corollary we may take

$L_n =$ disjoint union of n left trefoils.

□

9. Universal Khovanov homology (deformations of Kh) & other questions

Khovanov homology may be upgraded to universal Khovanov homology:

- label crossings by $\{1, 2, \dots, n\}$

- $v \in \{0, 1\}^n \leadsto D_v =$ perform 0-resolution $\diagup \leadsto \diagdown$ (at the i^{th} crossing if $v_i = 0$)
perform 1-resolution $\diagup \leadsto \diagup$ at the i^{th} crossing if $v_i = 1$

This is an embedded disjoint union of circles in \mathbb{R}^2 .

$$F_{\text{Kh}}^{\text{Ab}}(D)(v) = \bigotimes_{\pi_i(D_v)} \mathbb{Z}[h, t] \langle x_+, x_- \rangle$$

- $u \rightarrow v$ edge of the cube

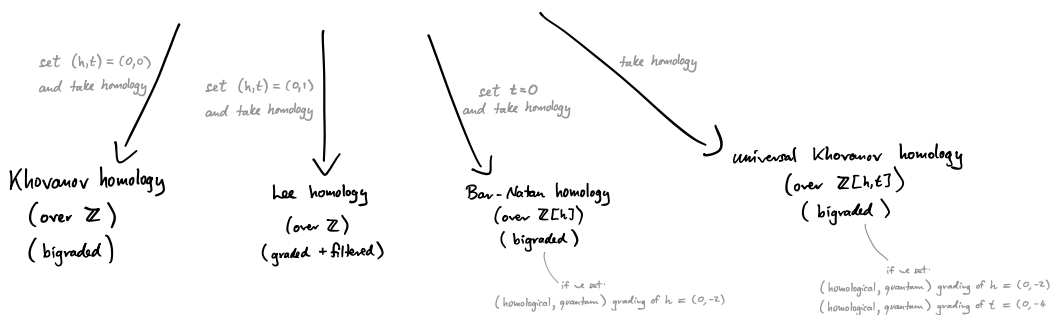
- if $D_u \leadsto D_v$ merges two circles, apply m :

$$\begin{aligned} x_+ \otimes x_+ &\mapsto x_+ \\ x_+ \otimes x_- &\mapsto x_- \\ x_- \otimes x_+ &\mapsto x_- \\ x_- \otimes x_- &\mapsto hx_- + tx_+ \end{aligned}$$
 (and identity on other components)

- if $D_u \leadsto D_v$ splits a circle in two, apply Δ :

$$\begin{aligned} x_+ &\mapsto x_+ \otimes x_- \\ &\quad + x_- \otimes x_+ \\ &\quad - hx_+ \otimes x_+ \\ x_- &\mapsto x_- \otimes x_- \\ &\quad + tx_+ \otimes x_+ \end{aligned}$$
 (and identity on other components)

- add signs to certain edges
- take \oplus within each homological grading
 \leadsto universal Khovanov chain complex



Quantum gradings:

x_+	$+1$
x_-	-1
h	-2
t	-4

Question Can any of these deformations of Khovanov homology be spectrified?

Question If we specialize (h, t) to integers, can the Khovanov cube in Ab be lifted to a cube in the Burnside category?

Rmk Clearly no unless $h=0$ and $t \geq 0$ (because sets cannot have negative cardinality).

[LLS] Also impossible for $(h, t) = (0, 1)$

Question

- Can Seidel-Smith's description of Khovanov homology via Floer theory be upgraded to produce a flow category refining the Khovanov chain complex and then a Khovanov spectrum via the construction of Cohen-Jones-Segal?
- If yes, is it homotopy equivalent to $X_{\text{Kh}}(-)$?

(Lauder) Lipshitz-Sarkar