

Mapping class group representations via
Heisenberg, Schrödinger and Stone-von Neumann

Based on joint work with

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Background / motivation / goal

Σ = surface

$M(\Sigma) =$ its mapping class group

$$= \pi_0(\text{Diff}_0(\Sigma))$$

$= \{ \text{isotopy classes of self-diffeomorphisms of } \Sigma \text{ that fix } \partial\Sigma \text{ pointwise} \}$

Goal: Construct "interesting" homological representations of $M(\Sigma)$.

defined via an action on a space, followed by taking (some variety of) homology

Why representations?

Understand $M(\Sigma)$ via the "symmetries" of simpler objects,

e.g. • vector spaces

• R -modules

"non-complicated" ring R

e.g. $R = \mathbb{Z}[\mathbb{Z}^k]$ (Laurent polynomials)

$R = \mathbb{Z}[G]$ (G nilpotent group)

(NOT $R = \mathbb{Z}[M(\Sigma)]$)

Why homological representations?

- The representation theory of $M(\Sigma)$ is "wild"
(no classification of invar. reps with finitely many parameters)
- Constructing representations topologically/homologically allows us to use topological tools to study them...

When $\Sigma = \mathbb{D}_k = \mathbb{D}^2 \setminus \{p_1, \dots, p_k\}$, $\mathcal{M}(\mathbb{D}_k) \cong \mathbb{B}_k$
/
Artin's braid group

In 2000, Bigelow & Krammer (independently) constructed
finite-dimensional, faithful representations of \mathbb{B}_k (over a field)

$$\mathbb{B}_k \hookrightarrow GL_N(\mathbb{R}) \quad [N \text{ depending on } k]$$

\Rightarrow "braid groups are linear"

For almost all other surfaces Σ , linearity of $\mathcal{M}(\Sigma)$ is an open question.

ie. whether \exists fin. dim. faithful
 repr. of $\mathcal{M}(\Sigma)$ over a field

More concrete & ambitious goal :

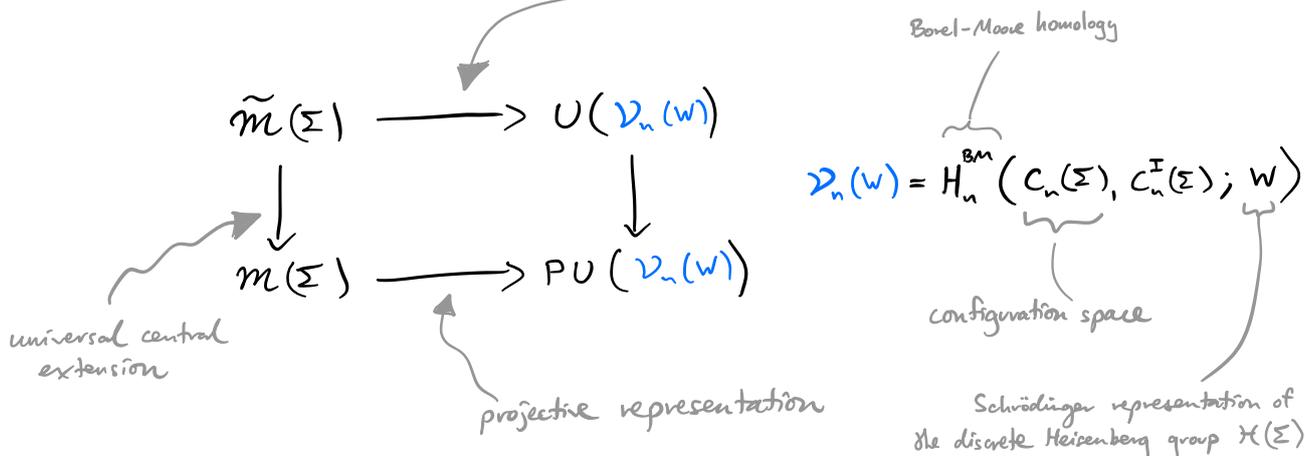
Construct faithful, fin.-dim. homological representations of $\mathcal{M}(\Sigma)$.

We will focus on $\Sigma = \Sigma_{g,1} = \bigcirc \cup \cup \cup$ for $g \geq 1$.

For convenience suppose that $g \geq 4$. (But everything works for $g \in \{1, 2, 3\}$ too.)

Theorem (Blanchet - P. - Shankar)

① For each $n \geq 2$, there is a complex unitary representation:



Remark $\mathcal{D}_n(W)$ is an infinite-dim. Hilbert space, essentially because W is infinite-dim. But there is also a variant of our construction using a finite-dim analogue W_N of W . ($N \geq 2$ even integer)
In this case we obtain a unitary representation

$$\tilde{M}(\Sigma) \longrightarrow U(\mathcal{D}_n(W_N))$$

on a complex Hilbert space $\mathcal{D}_n(W_N)$ of dimension $\binom{2g+n-1}{n} \cdot N^3$.

② For each $n \geq 2$ and each representation V (over \mathbb{R}) of the discrete Heisenberg group $\mathcal{H}(\Sigma)$, there is a representation

$$\text{ Torelli}(\Sigma) \longrightarrow \text{Aut}_{\mathbb{R}}(\mathcal{D}_n(V))$$

where $\mathcal{D}_n(V) = H_n^{BM}(C_n(\Sigma), C_n^I(\Sigma); V)$.

Torelli group = kernel of $M(\Sigma) \rightarrow \text{Aut}_{\mathbb{Z}}(H_1(\Sigma; \mathbb{Z}))$

Universal central extension

- An extension $1 \rightarrow K \rightarrow H \rightarrow G \rightarrow 1$ of G is central if $K \subseteq \mathcal{Z}(H)$.
- The universal central extension $\tilde{G} \rightarrow G$ is the initial object in the category of central extensions of G , i.e. for any central extension $H \rightarrow G$, \exists unique $\tilde{G} \rightarrow H$.

$$\begin{array}{ccc} \tilde{G} & \rightarrow & H \\ & \searrow & \downarrow \\ & & G \end{array}$$
- It exists $\iff H_1(G; \mathbb{Z}) = 0$ and the kernel of $\tilde{G} \rightarrow G$ is $H_2(G; \mathbb{Z})$.
- $$\left. \begin{array}{l} H_1(\mathcal{M}(\Sigma_{g,1}); \mathbb{Z}) = 0 \\ H_2(\mathcal{M}(\Sigma_{g,1}); \mathbb{Z}) \cong \mathbb{Z} \end{array} \right\} \text{for } g \geq 4$$

So the universal central extension is of the form

$$1 \rightarrow \mathbb{Z} \rightarrow \tilde{\mathcal{M}}(\Sigma) \rightarrow \mathcal{M}(\Sigma) \rightarrow 1$$

Discrete Heisenberg group

- Central extension $1 \rightarrow \mathbb{Z} \rightarrow \mathcal{H}(\Sigma) \rightarrow H_1(\Sigma; \mathbb{Z}) \rightarrow 1$
- Concretely $\mathcal{H}(\Sigma) = \mathbb{Z} \times H_1(\Sigma; \mathbb{Z})$ as a set, with operation

$$(a, x)(b, y) = (a + b + x \cdot y, x + y)$$
 where $\cdot : H_1(\Sigma; \mathbb{Z}) \times H_1(\Sigma; \mathbb{Z}) \rightarrow \mathbb{Z}$ is the intersection cocycle.

Schrödinger representation of $\mathcal{H}(\Sigma)$

- $W = L^2(\mathbb{R}^3)$
 - $W_N = L^2((\mathbb{Z}/N)^3) \cong \mathbb{R}^{N^3}$
- (more details later...)

Kernels

$$\bigcap_n J(n) = \{1\}$$

The Johnson filtration is an exhaustive filtration:

$$M(\Sigma) \supseteq J(1) \supseteq J(2) \supseteq J(3) \supseteq \dots$$

||
Torelli(Σ)

Def • $J(n) = \ker \left(M(\Sigma) \longrightarrow \text{Aut} \left(\frac{\pi_1(\Sigma)}{\Gamma_{n+1}(\pi_1(\Sigma))} \right) \right)$
the largest n -nilpotent quotient of $\pi_1(\Sigma) \cong F_{2g}$

• $\text{Magnus}(\Sigma) = \ker \left(M(\Sigma) \longrightarrow \text{Aut} \left(\frac{\pi_1(\Sigma)}{\pi_1(\Sigma)^{(2)}} \right) \right)$
the largest 2-solvable ("metabelian") quotient of $\pi_1(\Sigma)$

"Magnus kernel"

Proposition

Each of our representations (for given $n \geq 2$) has kernel contained in $J(n) \cap \text{Magnus}(\Sigma)$.

This uses [Moriyama '07], who identifies $J(n)$ with the kernel of a certain (simpler) homological representation of $M(\Sigma)$, and [Suzuki '05], who does the same for $\text{Magnus}(\Sigma)$.

Calculations

$$g = 1 \quad \left(\Sigma = \text{O} \right)$$

$$n = 2$$

$$\mathcal{X}(\Sigma) = \langle a, b, u \mid u \text{ central}, [a, b] = u^2 \rangle \cong \begin{pmatrix} 1 & \mathbb{Z} & \frac{1}{2}\mathbb{Z} \\ 0 & 1 & \mathbb{Z} \\ 0 & 0 & 1 \end{pmatrix}$$

$V =$ regular representation

$$= \mathbb{Z}[\mathcal{X}(\Sigma)] = \mathbb{Z}[u^{\pm 1}] \langle a^{\pm 1}, b^{\pm 1} \rangle / (aba^{-1}b^{-1} - u^2) =: \mathcal{R}$$

In this case $\mathcal{V}_2(V) \cong \mathcal{R}^3$ as an \mathcal{R} -module, so

$$\text{ Torelli}(\Sigma_{1,1}) \longrightarrow \text{GL}_3(\mathcal{R})$$

and the Dehn twist around the boundary $T_{\partial\Sigma}$ acts by

$$\begin{pmatrix} u^{-8}b^2 + u^{-4}a^{-2} - ua^{-2}b^2 + (u^{-1} - u^{-2})a^{-2}b + (u^{-3} - u^{-4})a^{-1}b^2 + (u^{-4} - u^{-5})a^{-1}b & (u^2 + 1 - 2u^{-1} + u^{-2} + u^{-4})a^{-2}b^2 - ua^{-2}b^4 + (-u^2 + u + u^{-1} - u^{-2})a^{-2}b^3 - u^{-3}a^{-2} + (-1 + u^{-1} + u^{-3} - u^{-4})a^{-2}b & (-1 + 2u^{-1} - u^{-2} - u^{-4} + u^{-5})a^{-2}b + (u-1)a^{-2}b^3 + (u^2 - u - u^{-1} + 2u^{-2} - u^{-3})a^{-2}b^2 + (-u^{-3} + u^{-4})a^{-1}b + (u^{-4} - u^{-5})a^{-1}b^3 + (-u^{-2} + u^{-3} + u^{-5} - u^{-6})a^{-1}b^2 + (-u^{-3} + u^{-4})a^{-2} \end{pmatrix}$$

$$-u^{-1} - u^{-3} + 2u^{-4} - u^{-5} - u^{-7} + u^{-2}a^2 + (u^{-1} - u^{-2} - u^{-4} + u^{-5})a + u^{-6}a^{-2} + (u^{-3} - u^{-4} - u^{-6} + u^{-7})a^{-1}$$

$$1 + u^{-2} - u^{-3} + u^{-6} + u^{-6}a^{-2}b^2 - u^{-1}b^2 + (u^{-3} - u^{-4})a^{-1}b^2 + (-1 + u^{-1} + u^{-3} - u^{-4})b + (u^{-2} - 2u^{-3} + u^{-4} + u^{-6} - u^{-7})a^{-1}b - u^{-5}a^{-2} + (-u^{-2} + u^{-3} + u^{-5} - u^{-6})a^{-1} + (u^{-5} - u^{-6})a^{-2}b$$

$$(-u^{-6} + u^{-7})a^{-2}b + (u^{-1} - u^{-2} - u^{-4} + 2u^{-5} - u^{-6})b + (-u^{-3} + 2u^{-4} - u^{-5} - u^{-7} + u^{-8})a^{-1}b + 1 - u^{-1} + u^{-2} - 3u^{-3} + 2u^{-4} + u^{-6} - u^{-7} + (-u^{-2} + 2u^{-3} - u^{-4} + u^{-5} - 2u^{-6} + u^{-7})a^{-1} + (u^{-2} - u^{-3})ab + (-1 + u^{-1} + u^{-3} - u^{-4})a + (-u^{-5} + u^{-6})a^{-2}$$

$$-u^{-6}ab + (-u^{-3} + u^{-4} - u^{-7})b - u^{-4} + (u^{-1} - u^{-4} + u^{-5})a^{-1}b + u^{-2}a^{-2}b + (-u^{-3} + u^{-6})a^{-1} + u^{-5}a^{-2}$$

$$(-1 - u^{-2} + 2u^{-3} - u^{-6})a^{-1}b + u^{-1}a^{-1}b^3 + u^{-2}a^{-2}b^3 + (1 - u^{-1} - u^{-3} + u^{-4})a^{-1}b^2 + (u^{-1} - u^{-2} + u^{-5})a^{-2}b^2 + (-u^{-1} + u^{-4} - u^{-5})a^{-2}b + (u^{-2} - u^{-5})a^{-1} - u^{-4}a^{-2}$$

$$u^{-3} + (u^{-2} - u^{-3} - u^{-5} + u^{-6})a^{-1} + (-u^{-1} + u^{-2} - u^{-5} + u^{-6})a^{-1}b^2 + (-u^{-2} + u^{-3})a^{-2}b^2 + (-1 + u^{-1} + 2u^{-3} - 3u^{-4} + u^{-7})a^{-1}b + (-u^{-1} + u^{-2} - u^{-5} + u^{-6})a^{-2}b + (-u^{-4} + u^{-5})b^2 + (u^{-2} - u^{-3} - u^{-5} + u^{-6})b + (-u^{-4} + u^{-5})a^{-2}$$

Note (exercise!): This simplifies to the identity if we set $a = b = u^2 = 1$.

Plan

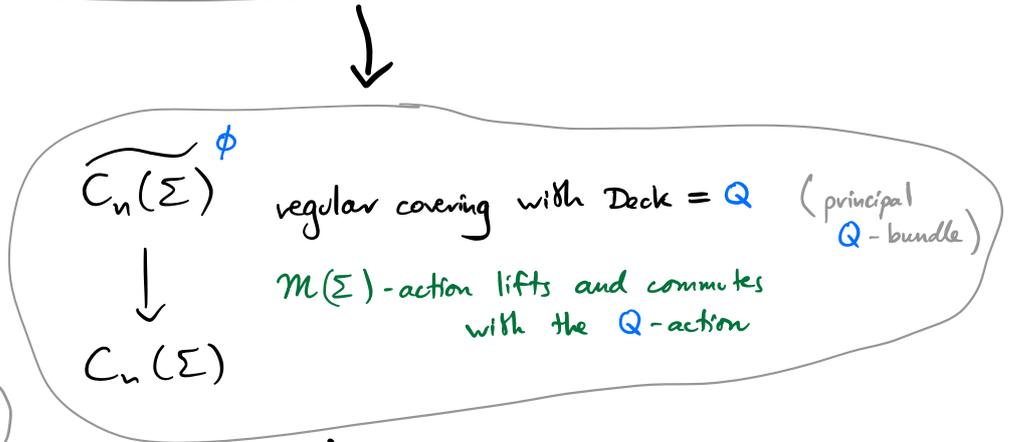
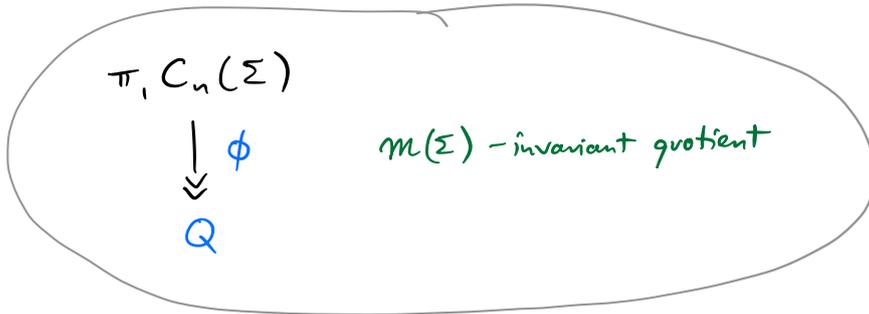
- General recipe for construction (1st version)
↳ Buzan, Lawrence-Krammer-Bigelow rep.'s of B_k .
- General recipe (2nd version; more general) \rightsquigarrow twisted representations.

Idea: • apply this recipe

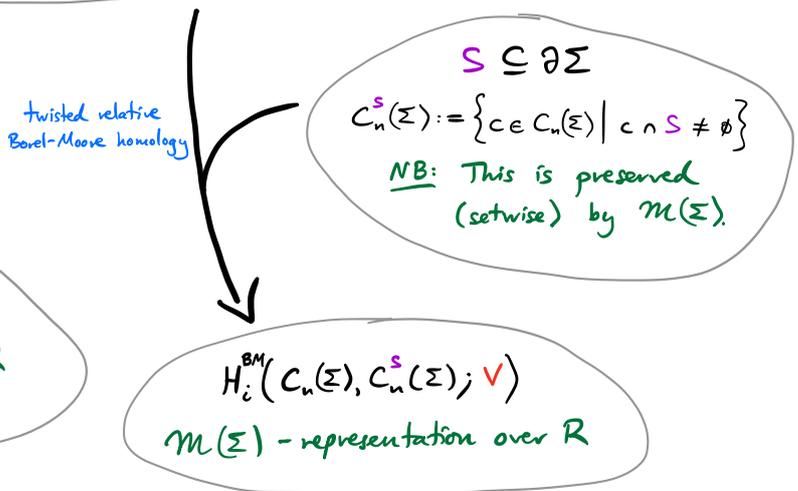
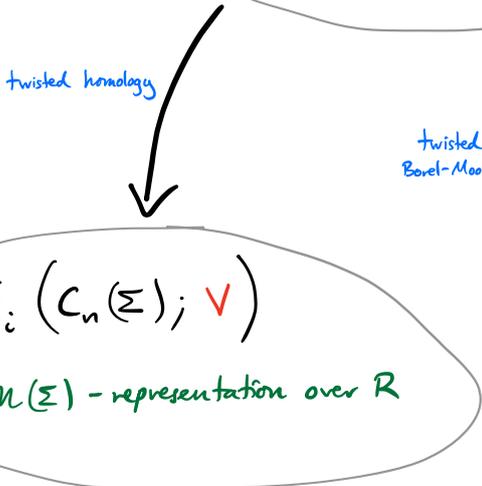
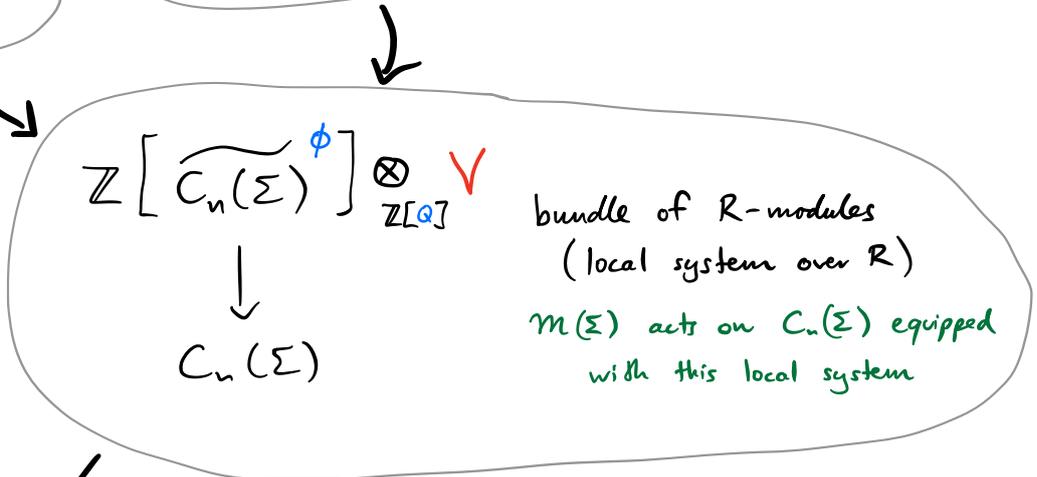
- use further structure/properties to "untwist" it.

Stone-von Neumann theorem

General recipe



Q -representation V
(over \mathbb{R})



When $\Sigma = \mathbb{D}_k$

$$\underline{n=1} \quad \phi : \pi_1(\mathbb{D}_k) = F_k \xrightarrow{ab} \mathbb{Z}^k \xrightarrow{+} \mathbb{Z} = \mathbb{Q}$$

total winding number

$$V = \mathbb{Z}[\mathbb{Q}] = \mathbb{Z}[\mathbb{Z}] = \mathbb{Z}[t^{\pm 1}]$$

(regular representation of \mathbb{Q})

$$S = I, \text{ interval } \subseteq \partial\mathbb{D}_k$$

$$\underline{H_1^{BM}(\mathbb{D}_k, I; \mathbb{Z}[t^{\pm 1}])} \quad \mathbb{B}_k\text{-representation over } \mathbb{Z}[t^{\pm 1}]$$

↑ the Burau representation

(isomorphic as a $\mathbb{Z}[t^{\pm 1}]$ -module to $\mathbb{Z}[t^{\pm 1}]^k$)

$$\underline{n \geq 2} \quad \phi : \pi_1 C_n(\mathbb{D}_k) \xrightarrow{\text{(total winding number, writhe)}} \mathbb{Z}^2 = \mathbb{Q}$$



$$V = \mathbb{Z}[\mathbb{Q}] = \mathbb{Z}[\mathbb{Z}^2] = \mathbb{Z}[t^{\pm 1}, q^{\pm 1}]$$

$$S = I, \text{ interval } \subseteq \partial\mathbb{D}_k$$

$$\underline{H_n^{BM}(C_n(\mathbb{D}_k), C_n^I(\mathbb{D}_k); \mathbb{Z}[t^{\pm 1}, q^{\pm 1}])} \quad \mathbb{B}_k\text{-representation over } \mathbb{Z}[t^{\pm 1}, q^{\pm 1}]$$

↑ the n^{th} Lawrence-Bigelow representation

(isomorphic as a $\mathbb{Z}[t^{\pm 1}, q^{\pm 1}]$ -module to $\mathbb{Z}[t^{\pm 1}, q^{\pm 1}]^{\binom{n+k-1}{n}}$)

Theorem (Bigelow, Kramer)

Faithful \mathbb{B}_k -representation when $n=2$.

In general,

$$\pi_1(C_n(\Sigma))^{ab} \cong \begin{cases} H_1(\Sigma) \times \mathbb{Z} & \Sigma \text{ planar} \\ H_1(\Sigma) \times \mathbb{Z}/(2n-2) & \Sigma = S^2 \\ H_1(\Sigma) \times \mathbb{Z}/2 & \text{otherwise} \end{cases}$$

winding numbers of particles around loops on the surface
writhe

Thus any abelian quotient Q of $\pi_1(C_n(\Sigma_{g,1}))$ ($g \geq 1$) can only remember the writhe mod 2.

\Rightarrow Look for non-abelian quotients of $\pi_1(C_n(\Sigma_{g,1}))$.

Lemma: For $n \geq 2$ there is a normal quotient

$$\phi: \pi_1(C_n(\Sigma_{g,1})) \longrightarrow \underbrace{\mathcal{H}(\Sigma_{g,1})}_{\text{discrete Heisenberg group}}$$

$\mathbb{Z} \times H_1(\Sigma)$
 $(a,x)(b,y) = (a+b+xy, x+y)$

Moreover, $\ker(\phi)$ is $\mathcal{M}(\Sigma)$ -invariant.

But ϕ itself is not.

Idea of proof:

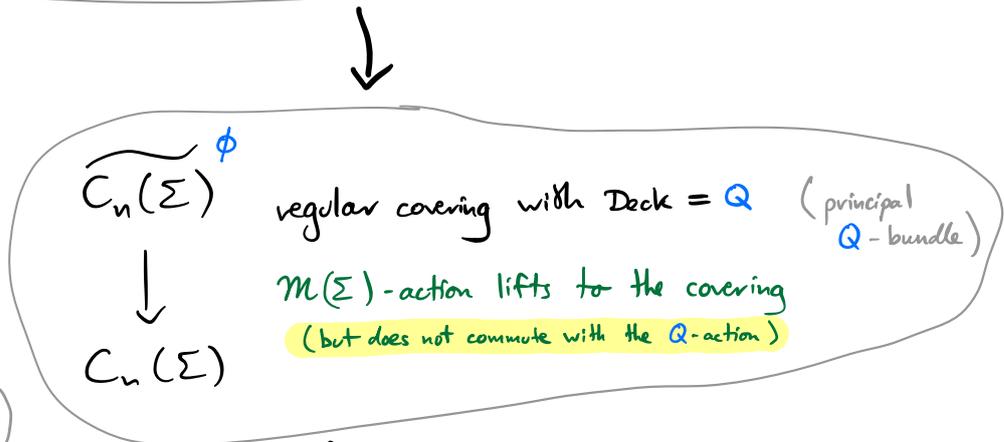
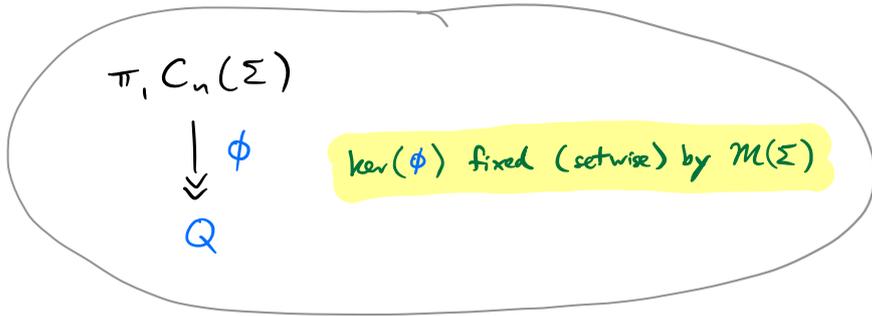
- $\sigma_1 =$ 
- $\pi_1(C_n(\Sigma_{g,1})) / N \cong \mathcal{H}(\Sigma)$
- σ_1 fixed by $\mathcal{M}(\Sigma)$

$\Rightarrow N = \ker(\phi)$ is fixed (setwise) by $\mathcal{M}(\Sigma)$.

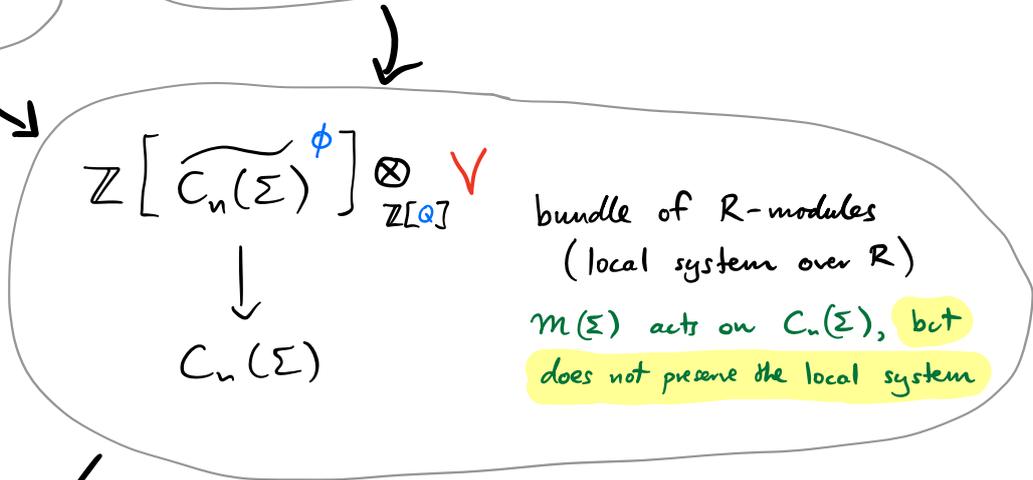
$N = \langle\langle [\sigma_1, x] \rangle\rangle$

General recipe II

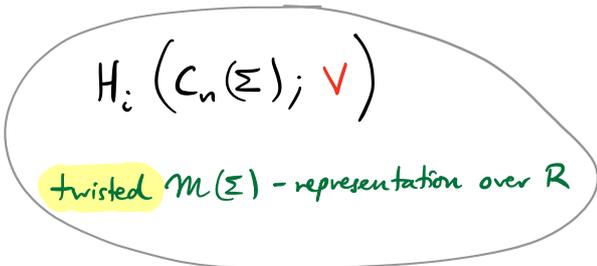
differences from first version highlighted



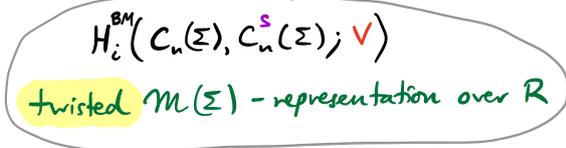
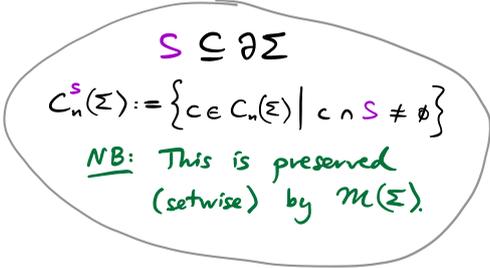
Q -representation V (over R)



twisted homology



twisted relative Borel-Moore homology



Q: What does "twisted $\mathcal{M}(\Sigma)$ -representation over \mathbb{R} " mean?

A: An action on the collection of \mathbb{R} -modules $\left\{ H_i^{\text{BM}}(C_n(\Sigma), C_n^s(\Sigma); \varphi^*V) \mid \varphi \in \mathcal{M}(\Sigma) \right\}$

For each $\varphi, \psi \in \mathcal{M}(\Sigma)$ we have an isomorphism (not automorphism)

$$H_i^{\text{BM}}(C_n(\Sigma), C_n^s(\Sigma); \psi^*\varphi^*V) \longrightarrow H_i^{\text{BM}}(C_n(\Sigma), C_n^s(\Sigma); \varphi^*V)$$

Formally, this is a $\text{Mod}_{\mathbb{R}}$ -valued functor on the translation groupoid of $\mathcal{M}(\Sigma)$.

Set ϕ to be the quotient $\pi_1(C_n(\Sigma_{g,1})) \twoheadrightarrow \mathcal{H}(\Sigma_{g,1}) = \mathbb{Q}$

and $S = I$ interval $\subseteq \partial \Sigma_{g,1}$

Then we obtain a twisted $\mathcal{M}(\Sigma)$ -representation $H_n^{\text{BM}}(C_n(\Sigma), C_n^I(\Sigma); V)$

for each $n \geq 2$ and $\mathcal{H}(\Sigma)$ -representation V .

Moreover, we understand $H_n^{\text{BM}}(C_n(\Sigma), C_n^I(\Sigma); V)$ completely as a module:

Proposition:

$$H_n^{\text{BM}}(C_n(\Sigma), C_n^I(\Sigma); V) \cong \bigoplus_{\binom{n+2g-1}{n}} V \quad \text{as } \mathbb{R}\text{-modules}$$

Final step:

How to "untwist" the $\mathcal{M}(\Sigma)$ -action when $V = W =$ Schrödinger representation??

(after passing to $\tilde{\mathcal{M}}(\Sigma)$)

The Stone-von Neumann theorem

discrete Heisenberg group = $\mathcal{H}(\Sigma) = \mathbb{Z}^3 \ltimes \mathbb{Z}^{g+1}$
 b_1, \dots, b_g a_1, \dots, a_g, u

$$b_i : \begin{cases} a_i \mapsto a_i + 2u \\ a_j \mapsto a_j \quad (j \neq i) \end{cases}$$

continuous Heisenberg group = $\mathcal{H}(\Sigma)_{\mathbb{R}} = \mathbb{R}^3 \ltimes \mathbb{R}^{g+1}$
 b_1, \dots, b_g a_1, \dots, a_g, u

$$b_i : \begin{cases} a_i \mapsto a_i + 2u \\ a_j \mapsto a_j \quad (j \neq i) \end{cases}$$

Definition

Fix a real number $\hbar > 0$ ("Planck's constant")

$$\begin{array}{ccc} \mathbb{R}^{g+1} & \xrightarrow{p \mapsto p} & \mathbb{R} & \xrightarrow{t \mapsto e^{it\hbar/2}} & S^1 = U(1) & \text{(1-dim. unitary representation)} \\ \downarrow & & & & & \\ \mathbb{R}^3 \ltimes \mathbb{R}^{g+1} & \xrightarrow{\rho_W} & U(L^2(\mathbb{R}^3)) & & & \text{(induced representation)} \end{array}$$

This is the Schrödinger representation of $\mathcal{H}(\Sigma)_{\mathbb{R}}$

Explicit formula:

$$\rho_W \left(\sum_{i=1}^3 q_i b_i + \sum_{i=1}^g p_i a_i + tu \right) (\psi)(s) = e^{i\hbar(p \cdot s + \frac{1}{2}(t-p \cdot q))} \psi(s-q)$$

$$p, q, s \in \mathbb{R}^3$$

$$t \in \mathbb{R}$$

$$\psi \in L^2(\mathbb{R}^3) = W$$

Theorem (Stone-von Neumann)

$$\forall \varphi \in \text{Aut}(\mathcal{H}(\Sigma)_{\mathbb{R}})$$

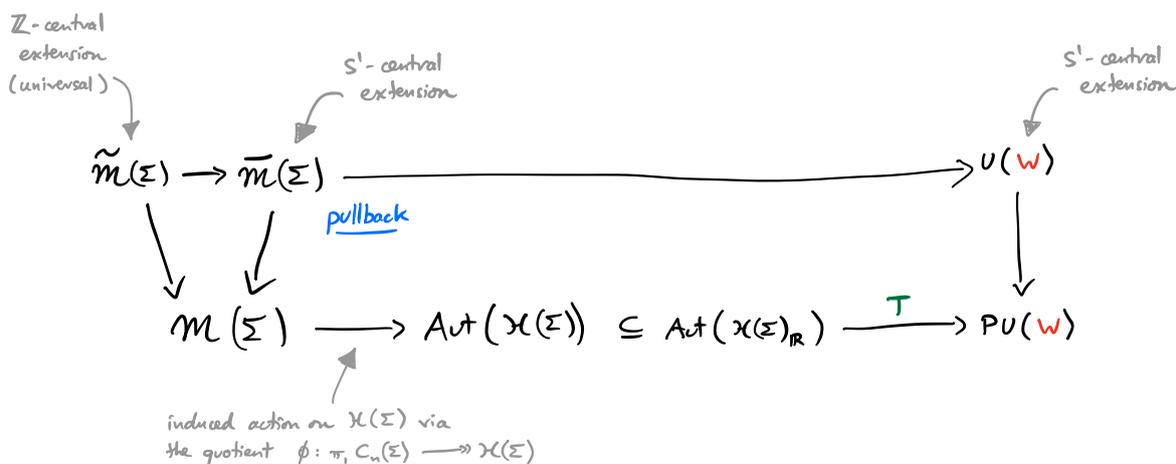
\exists unique inner automorphism $T(\varphi)$ of $U(W)$ such that

$$\begin{array}{ccc} \mathcal{H}(\Sigma)_{\mathbb{R}} & \xrightarrow{\rho_W} & U(W) \\ \varphi \downarrow & & \downarrow T(\varphi) \\ \mathcal{H}(\Sigma)_{\mathbb{R}} & \xrightarrow{\rho_W} & U(W) \end{array} \text{ commutes.}$$

$$\rightsquigarrow T : \text{Aut}(\mathcal{H}(\Sigma)_{\mathbb{R}}) \longrightarrow \text{Inn}(U(W)) = \text{PU}(W) \text{ projective unitary group}$$

This is the Segal-Shale-Weil projective representation of $\text{Aut}(\mathcal{H}(\Sigma)_{\mathbb{R}})$

Lift to a unitary representation of $\tilde{m}(\Sigma)$:



Notation: $\beta: \tilde{m}(\Sigma) \rightarrow U(W)$

Recall:

In the general construction, we have a bundle of Hilbert spaces

$$\begin{array}{c} \mathbb{Z}[\widetilde{C}_n(\Sigma)^{\phi}] \otimes_{\mathbb{Z}[\mathcal{X}(\Sigma)]} W \\ \downarrow \\ C_n(\Sigma) \end{array} \quad (*)$$

but the action of $\mathcal{M}(\Sigma)$ on $C_n(\Sigma)$ does not preserve this local system.

Write $\alpha: \mathcal{M}(\Sigma) \rightarrow \text{Aut}(\mathbb{Z}[\widetilde{C}_n(\Sigma)^{\phi}])$ for the lifted action of $\mathcal{M}(\Sigma)$
 (which does not commute with the $\mathbb{Z}[\mathcal{X}(\Sigma)]$ -module structure)

Key theorem:

We may use β to untwist α .

Precisely: the $\mathcal{M}(\Sigma)$ -action on $C_n(\Sigma)$ may be lifted to a well-defined $\tilde{m}(\Sigma)$ -action on $(*)$ by bundle automorphisms via the formula:

$$\begin{array}{l} \tilde{m}(\Sigma) \\ \downarrow \pi \\ \mathcal{M}(\Sigma) \end{array} \quad \begin{array}{l} \varphi \in \tilde{m}(\Sigma) \\ v \in \mathbb{Z}[\widetilde{C}_n(\Sigma)^{\phi}] \\ w \in W \end{array} \quad \varphi(v \otimes w) = \alpha(\pi(\varphi))(v) \otimes \beta(\varphi)(w)$$

Covollary (= main theorem)

After taking twisted BM-homology, we have an untwisted (unitary) representation of $\tilde{\mathcal{M}}(\Sigma)$.

Bonus :

- Lemma: The universal central extension $\tilde{\mathcal{M}}(\Sigma)$ is trivial when
- $$\begin{array}{c} \tilde{\mathcal{M}}(\Sigma) \\ \downarrow \\ \mathcal{M}(\Sigma) \end{array}$$
- restricted to the Torelli group.

Idea of proof:

- One may compute that the inclusion $\text{Torelli}(\Sigma) \hookrightarrow \mathcal{M}(\Sigma)$ induces the 0 map on $H^2(-; \mathbb{Z})$.
 - Thus any \mathbb{Z} -central extension of $\mathcal{M}(\Sigma)$ becomes trivial when restricted to $\text{Torelli}(\Sigma)$.
 - Hence we obtain an untwisted representation of $\text{Torelli}(\Sigma)$ on $H_n^{\text{BM}}(C_n(\Sigma), C_n^{\mp}(\Sigma); W)$ without passing to a central extension.
 - In fact, using different methods, we obtain an untwisted representation of $\text{Torelli}(\Sigma)$ on $H_n^{\text{BM}}(C_n(\Sigma), C_n^{\mp}(\Sigma); V)$ for any $\mathcal{H}(\Sigma)$ -representation V , not just $V=W$.
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Thank you for your attention!